

# Riesz bases in $L^2(0, 1)$ related with sampling in shift-invariant spaces

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## Abstract

The Fourier duality is an elegant technique to obtain sampling formulas in Paley-Wiener spaces. In this paper it is proved that there exists an analogous of the Fourier duality technique in the setting of shift-invariant spaces. In fact, any shift-invariant space  $V_\varphi$  with a stable generator  $\varphi$  is the range space of a bounded one-to-one linear operator  $T$  between  $L^2(0, 1)$  and  $L^2(\mathbb{R})$ . Thus, regular and irregular sampling formulas in  $V_\varphi$  are obtained by transforming, via  $T$ , expansions in  $L^2(0, 1)$  with respect to some appropriate Riesz bases.

**Keywords:** Shift-invariant spaces, Zak transform, Riesz bases, Sampling expansions.  
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## 1 Introduction

The Whittaker-Shannon-Kotel'nikov sampling theorem states that any function  $f$  in the classical Paley-Wiener space  $PW_\pi$

$$PW_\pi := \{f \in L^2(\mathbb{R}) \cap C(\mathbb{R}) : \text{supp } \widehat{f} \subset [-\pi, \pi]\},$$

i.e., bandlimited to  $[-\pi, \pi]$ , may be reconstructed from its samples  $\{f(n)\}_{n \in \mathbb{Z}}$  on the integers as

$$f(t) = \sum_{n=-\infty}^{\infty} f(n) \text{sinc}(t - n), \quad (1)$$

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where sinc denotes the cardinal sine function,  $\text{sinc}(t) = \sin \pi t / \pi t$ .

This theorem and its numerous offspring have been proved in many different ways, e.g. using Fourier expansions, the Poisson summation formula, contour integrals, etc. (see, for instance, [9, 17]). But the most elegant proof is probably the one due to Hardy [8], using that the Fourier transform  $\mathcal{F}$  is an isometry between  $PW_\pi$  and  $L^2[-\pi, \pi]$ . For any  $f \in PW_\pi$  one has

$$f(t) = \frac{1}{\sqrt{2\pi}} \int_{-\pi}^{\pi} \widehat{f}(w) e^{iwt} dw = \left\langle \widehat{f}, \frac{e^{-iwt}}{\sqrt{2\pi}} \right\rangle_{L^2[-\pi, \pi]}, \quad t \in \mathbb{R},$$

so any value  $f(t_n)$  of  $f$  is the inner product in  $L^2[-\pi, \pi]$  of  $\widehat{f}$  and the complex exponential  $e^{-it_n w} / \sqrt{2\pi}$ . The key point in Hardy's proof is that an expansion converging in  $L^2[-\pi, \pi]$  is transformed by  $\mathcal{F}^{-1}$  into another expansion which converges in the topology of  $PW_\pi$ . This implies, in particular, that it converges absolutely and uniformly on  $\mathbb{R}$ . Recall that the Paley-Wiener space  $PW_\pi$  is a reproducing kernel Hilbert space (RKHS) whose reproducing kernel is  $k(t, s) = \text{sinc}(t - s)$ . This technique has been coined in [9, p. 56] as the *Fourier duality* in Paley-Wiener spaces. Thus, expanding  $\widehat{f}$  with respect to the orthonormal basis  $\{e^{-inw} / \sqrt{2\pi}\}_{n \in \mathbb{Z}}$  and transforming by  $\mathcal{F}^{-1}$  we obtain the Shannon sampling formula (1). Besides, an irregular sampling formula in  $PW_\pi$  at a sequence  $\{t_n\}_{n \in \mathbb{Z}}$  of real points may be obtained by perturbing the orthonormal basis  $\{e^{-inw} / \sqrt{2\pi}\}_{n \in \mathbb{Z}}$  in such a way that the sequence of complex exponentials  $\{e^{-it_n w} / \sqrt{2\pi}\}_{n \in \mathbb{Z}}$  forms a Riesz basis for  $PW_\pi$ . This is the case if, for instance, the sequence  $\{t_n\}_{n \in \mathbb{Z}} \subset \mathbb{R}$  verifies the Kadec condition:  $\sup_{n \in \mathbb{Z}} |t_n - n| < 1/4$ . Moreover, the Paley-Wiener-Levinson sampling theorem states that any function  $f \in PW_\pi$  can be recovered from its samples  $\{f(t_n)\}_{n \in \mathbb{Z}}$  by means of the Lagrange-type interpolation series

$$f(t) = \sum_{n=-\infty}^{\infty} f(t_n) \frac{G(t)}{G'(t_n)(t - t_n)},$$

where  $G$  stands for the infinite product  $G(t) := (t - t_0) \prod_{n=1}^{\infty} (1 - t/t_n)(1 - t/t_{-n})$  [16]. On the other hand, the Paley-Wiener space  $PW_\pi$  is a particular case of a shift-invariant space, i.e., a closed subspace in  $L^2(\mathbb{R})$  generated by the integer shifts of a single function  $\varphi \in L^2(\mathbb{R})$ . Whenever the sequence  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$  forms, at least, a frame sequence in  $L^2(\mathbb{R})$ , the corresponding shift-invariant space can be described as

$$V_\varphi := \left\{ \sum_{n \in \mathbb{Z}} a_n \varphi(\cdot - n) : \{a_n\} \in \ell^2(\mathbb{Z}) \right\}.$$

The generator  $\varphi$  is stable if the sequence  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ . For  $PW_\pi$ , a stable generator is  $\varphi = \text{sinc}$ . Wavelet subspaces are important examples of shift-invariant spaces generated by the scaling function of the corresponding multiresolution analysis. See [3, 4, 13] for the general theory of shift-invariant spaces and their applications. In addition, sampling theory in shift-invariant spaces and, in particular,

in wavelet subspaces has been largely studied in the recent years. Let us to cite, for instance, the works of Aldroubi and Gröchenig [1], Aldroubi and Unser [2], Chen, Itoh and Shiki [5, 6], Janssen [11], Sun and Zhou [14, 18], or Walter [12, 15] among others.

The main aim in this paper is to show that the Fourier duality for Paley-Wiener spaces can be generalized to the case of a shift-invariant space  $V_\varphi$  with a stable generator  $\varphi$ . To this end, we define a bounded one-to-one linear operator  $T$  between  $L^2(0, 1)$  and  $L^2(\mathbb{R})$  as

$$T : L^2(0, 1) \longrightarrow L^2(\mathbb{R}) \\ F \longrightarrow f \quad \text{such that } f(t) := \langle F, K_t \rangle_{L^2(0,1)},$$

where the kernel transform  $t \in \mathbb{R} \mapsto K_t \in L^2(0, 1)$  is given by the Zak transform of  $\bar{\varphi}$  namely,  $K_t(x) := Z\bar{\varphi}(t, x)$ , a.e.  $x \in (0, 1)$ . The shift-invariant space  $V_\varphi$  coincides with the range space of  $T$ . Thus, sampling expansions in  $V_\varphi$  can be seen as transformed expansions via  $T$  of expansions in  $L^2(0, 1)$  with respect to appropriate Riesz bases. Taking into account the definition of  $T$ , these bases should have the particular form  $\{K_{t_n}\}_{n \in \mathbb{Z}}$ . Taking the sampling points  $\{t_n = a + n\}_{n \in \mathbb{Z}}$  we obtain the regular sampling in  $V_\varphi$ , whereas perturbing this sequence as  $\{t_n = a + n + \delta_n\}_{n \in \mathbb{Z}}$  we obtain the irregular sampling. These steps will be carried out throughout the remaining sections.

## 2 Preliminaries on shift-invariant spaces

Let  $\varphi \in L^2(\mathbb{R})$  be a stable generator for the shift-invariant space

$$V_\varphi := \left\{ \sum_{n \in \mathbb{Z}} a_n \varphi(\cdot - n) : \{a_n\} \in \ell^2(\mathbb{Z}) \right\} \subset L^2(\mathbb{R}),$$

i.e., the sequence  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ . Recall that the sequence  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$  is a Riesz sequence, i.e., a Riesz basis for  $V_\varphi$  if and only if

$$0 < \|\Phi\|_0 \leq \|\Phi\|_\infty < \infty,$$

where  $\|\Phi\|_0$  denotes the essential infimum of the function  $\Phi(w) := \sum_{k \in \mathbb{Z}} |\widehat{\varphi}(w + k)|^2$  in  $[0, 1]$ , and  $\|\Phi\|_\infty$  its essential supremum. Furthermore,  $\|\Phi\|_0$  and  $\|\Phi\|_\infty$  are the optimal Riesz bounds [7, p. 143].

We assume along the paper that, for each  $t \in \mathbb{R}$ , the series  $\sum_{n \in \mathbb{Z}} |\varphi(t - n)|^2$  converges. Thus, by using the Riesz' subsequence theorem [7, p. 39] we can choose the pointwise limit  $f(t) := \sum_{n \in \mathbb{Z}} a_n \varphi(t - n)$  for each  $t \in \mathbb{R}$ , as the representative element of the class  $\sum_{n \in \mathbb{Z}} a_n \varphi(\cdot - n)$  in  $L^2(\mathbb{R})$ . Moreover, if  $\varphi$  is a continuous function and the series  $\sum_{n \in \mathbb{Z}} |\varphi(t - n)|^2$  converges uniformly in compact subsets of  $\mathbb{R}$ , we can take any  $f \in V_\varphi$  as a continuous function in  $\mathbb{R}$ .

Besides,  $V_\varphi$  is a RKHS since the evaluation functionals are bounded in  $V_\varphi$ . Indeed, for each fixed  $t \in \mathbb{R}$  we have

$$|f(t)|^2 \leq \frac{1}{\|\widehat{\Phi}\|_0} \sum_{n \in \mathbb{Z}} |\varphi(t-n)|^2 \|f\|^2, \quad f \in V_\varphi, \quad (2)$$

where we have used Cauchy-Schwartz's inequality in  $f(t) = \sum_{n \in \mathbb{Z}} a_n \varphi(t-n)$ , and the Riesz basis condition

$$\|\widehat{\Phi}\|_0 \sum_{n \in \mathbb{Z}} |a_n|^2 \leq \|f\|^2 \leq \|\widehat{\Phi}\|_\infty \sum_{n \in \mathbb{Z}} |a_n|^2, \quad f \in V_\varphi.$$

Inequality (2) shows that convergence in the  $L^2(\mathbb{R})$ -norm implies pointwise convergence in  $\mathbb{R}$ . The convergence is uniform in subsets of the real line where  $\|K_t\|_{L^2(0,1)}^2 = \sum_{n \in \mathbb{Z}} |\varphi(t-n)|^2$  is bounded.

The reproducing kernel of  $V_\varphi$  is given by  $k(t, s) = \sum_{n \in \mathbb{Z}} \varphi(t-n) \overline{\varphi^*(s-n)}$  where the sequence  $\{\varphi^*(\cdot - n)\}_{n \in \mathbb{Z}}$  denotes the dual Riesz basis of  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$ . Recall that the function  $\varphi^*$  has Fourier transform  $\widehat{\varphi^*} = \widehat{\varphi}/\Phi$  [2].

### 3 A linear transform defining a shift-invariant space

For each  $t \in \mathbb{R}$ , consider the function  $K_t \in L^2(0, 1)$  defined by the Fourier series

$$K_t := \sum_{n \in \mathbb{Z}} \overline{\varphi(t+n)} e^{-2\pi i n x}.$$

Notice that  $K_t(x) = Z\overline{\varphi}(t, x)$  a.e.  $x \in (0, 1)$ , where  $Z$  denotes the Zak transform of  $\overline{\varphi}$ . See [10] for properties and uses of the Zak transform.

Thus, for each  $F \in L^2(0, 1)$  we can define the function

$$\begin{aligned} f : \mathbb{R} &\longrightarrow \mathbb{C} \\ t &\longrightarrow f(t) := \langle F, K_t \rangle_{L^2(0,1)}. \end{aligned}$$

If we denote by  $T$  the linear transform which maps  $F \in L^2(0, 1)$  into  $f$ , i.e.,  $T(F) = f$ , then we can identify the range space of  $T$  as the shift-invariant  $V_\varphi$ , i.e.,  $T(L^2(0, 1)) = V_\varphi$ . Indeed, for  $F \in L^2(0, 1)$  we have that

$$[T(F)](t) = \langle F, K_t \rangle_{L^2(0,1)} = \sum_{n \in \mathbb{Z}} \langle F, e^{-2\pi i n x} \rangle_{L^2(0,1)} \varphi(t+n), \quad t \in \mathbb{R},$$

which belongs to  $V_\varphi$ . Furthermore, for each  $f \in V_\varphi$  there exists a sequence  $\{a_n\} \in \ell^2(\mathbb{Z})$  such that  $f = \sum_{n \in \mathbb{Z}} a_n \varphi(\cdot + n)$  in  $L^2(\mathbb{R})$ . Since  $\{e^{-2\pi i n x}\}_{n \in \mathbb{Z}}$  is an orthonormal basis in  $L^2(0, 1)$ , there exists a function  $F \in L^2(0, 1)$  such that  $\langle F, e^{-2\pi i n x} \rangle_{L^2(0,1)} = a_n$  for every  $n \in \mathbb{Z}$ . Hence,  $T(F) = f$ . Moreover, the following result holds

**Theorem 1** *The mapping  $T$  is an invertible bounded operator between  $L^2(0,1)$  and  $V_\varphi$ .*

**Proof:** The operator  $T$  is bijective since it applies the orthonormal basis  $\{e^{-2\pi inx}\}_{n \in \mathbb{Z}}$  in  $L^2(0,1)$  into the Riesz basis  $\{\varphi(t+n)\}_{n \in \mathbb{Z}}$  in  $V_\varphi$ . Concerning the continuity, for  $F \in L^2(0,1)$  we have

$$\begin{aligned} \|T(F)\|_{L^2(\mathbb{R})}^2 &= \left\| \sum_{n \in \mathbb{Z}} \langle F, e^{-2\pi inx} \rangle_{L^2(0,1)} \varphi(t+n) \right\|_{L^2(\mathbb{R})}^2 \leq \|\Phi\|_\infty \sum_{n \in \mathbb{Z}} |\langle F, e^{-2\pi inx} \rangle|^2 \\ &= \|\Phi\|_\infty \|F\|_{L^2(0,1)}^2, \end{aligned}$$

where we have used the upper Riesz basis condition for  $\{\varphi(\cdot + n)\}_{n \in \mathbb{Z}}$ . ■

Having in mind the periodicity relations of the Zak transform, the function  $K_t$  satisfies for  $t \in \mathbb{R}$  and  $m \in \mathbb{Z}$

$$K_{t+m}(x) = e^{2\pi imx} K_t(x) \quad \text{in } L^2(0,1).$$

## 4 Riesz bases in $L^2(0,1)$ related with sampling in shift-invariant spaces

It is a straightforward result that a sequence  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$ , with  $F \in L^2(0,1)$ , is an orthonormal basis in  $L^2(0,1)$  if and only  $|F(x)| = 1$  a.e. in  $(0,1)$ . The aim in this section is to characterize Bessel sequences, Riesz bases and frames in  $L^2(0,1)$  having this particular form. From now on,  $\|F\|_\infty$  (respect.  $\|F\|_0$ ) will denote the essential supremum (respect. infimum) of  $|F|$  in  $(0,1)$ .

**Theorem 2** *Given a function  $F \in L^2(0,1)$ , the following results hold:*

- (a) *The sequence  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a Bessel sequence in  $L^2(0,1)$  if and only if the function  $F$  satisfies  $\|F\|_\infty < \infty$ .*
- (b) *The sequence  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0,1)$  if and only if the function  $F$  satisfies  $0 < \|F\|_0 \leq \|F\|_\infty < \infty$ . In this case, the optimal Riesz bounds of  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  are  $\|F\|_0^2$  and  $\|F\|_\infty^2$ .*
- (c) *The sequence  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a frame in  $L^2(0,1)$  if and only if it is a Riesz basis for  $L^2(0,1)$ .*

**Proof:** The proof of the theorem relies on the operator

$$\begin{aligned} \mathcal{G} : L^2(0,1) &\longrightarrow L^2(0,1) \\ f &\longrightarrow fF \end{aligned}$$

It is a well-defined bounded operator if and only if  $\|F\|_\infty < \infty$ . Following the characterization of Bessel sequences [7, Th. 3.2.3], this is equivalent to be  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  a Bessel sequence in  $L^2(0, 1)$ , which proves (a). Moreover, the operator norm is  $\|\mathcal{G}\| = \|F\|_\infty$ .

Since  $\{e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is an orthonormal basis in  $L^2(0, 1)$ , the sequence  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0, 1)$  if and only if the operator  $\mathcal{G}$  is a bounded invertible operator. The continuity of the inverse operator,  $\mathcal{G}^{-1} : f \mapsto f/F$ , is equivalent to  $0 < \|F\|_0$ , and  $\|\mathcal{G}^{-1}\| = \|F\|_0^{-1}$ . In this case, the optimal Riesz bounds are  $\|\mathcal{G}^{-1}\|^{-2} = \|F\|_0^2$  and  $\|\mathcal{G}\|^2 = \|F\|_\infty^2$  [7, p. 68]. Thus, we have proved (b).

Finally, having in mind the characterization of frames [7, Th. 5.5.5], the sequence  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a frame in  $L^2(0, 1)$  if and only if operator  $\mathcal{G}$  is a bounded surjective operator. But in this particular case,  $\mathcal{G}$  surjective obviously implies that  $\mathcal{G}$  is one-to-one, and  $\{F(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0, 1)$ . ■

The above theorem has its counterpart in  $V_\varphi$

**Corollary 1** *Given a function  $g \in V_\varphi$ , consider  $G = T^{-1}(g) \in L^2(0, 1)$ . Then, the sequence  $\{g(t - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$  if and only if  $0 < \|G\|_0 \leq \|G\|_\infty < \infty$ .*

**Proof:** For each  $n \in \mathbb{Z}$  we have

$$\begin{aligned} T[G(x)e^{2\pi inx}](t) &= \langle G(\cdot)e^{2\pi in\cdot}, K_t(\cdot) \rangle_{L^2(0,1)} = \langle G(\cdot), e^{-2\pi in\cdot} K_t(\cdot) \rangle_{L^2(0,1)} \\ &= \langle G, K_{t-n} \rangle_{L^2(0,1)} = g(t - n), \quad t \in \mathbb{R}. \end{aligned}$$

Since  $T$  is a bounded invertible operator, the sequence  $\{G(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0, 1)$  if and only if  $\{g(t - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ , and the result follows from Theorem 2. ■

## 5 Regular sampling in shift-invariant spaces

Regular sampling in  $V_\varphi$  arises by considering appropriate Riesz bases in  $L^2(0, 1)$ . Namely, for a fixed  $a \in [0, 1)$ , the regular samples at  $\{a + n\}_{n \in \mathbb{Z}}$  of  $f \in V_\varphi$  are given by

$$f(a + n) = \langle F, K_{a+n} \rangle_{L^2(0,1)} = \langle F, K_a e^{2\pi inx} \rangle_{L^2(0,1)}, \quad n \in \mathbb{Z},$$

where  $F = T^{-1}(f)$ . The sequence  $\{K_a(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  in  $L^2(0, 1)$  has the biorthonormal sequence  $\{e^{2\pi inx}/\overline{K_a(x)}\}_{n \in \mathbb{Z}}$  provided  $1/K_a \in L^2(0, 1)$ . Hence, stable regular sampling in  $V_\varphi$  reduces to study whenever the sequence  $\{K_a(x)e^{2\pi inx}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0, 1)$ , and this depends on the function  $K_a$  as we have proved in Theorem 2. Expanding  $F = T^{-1}(f)$  with respect to the Riesz basis  $\{e^{2\pi inx}/\overline{K_a(x)}\}_{n \in \mathbb{Z}}$ , via the invertible bounded operator  $T$  we obtain a regular sampling formula for  $f$ .

**Lemma 1** *Given  $a \in [0, 1)$ , there exists a function  $S_a \in V_\varphi$  satisfying the interpolation condition  $S_a(a + n) = \delta_{n,0}$ , where  $n \in \mathbb{Z}$ , if and only if the function  $1/K_a$  belongs to  $L^2(0, 1)$ . In this case  $S_a = T(1/\overline{K}_a)$ .*

**Proof:** Assume that there exists a function  $S_a \in V_\varphi$  satisfying the interpolation condition  $S_a(a + n) = \delta_{n,0}$ , where  $n \in \mathbb{Z}$ . For  $F_a = T^{-1}(S_a)$  we have

$$\begin{aligned} S_a(a + n) &= \langle F_a, K_{a+n} \rangle_{L^2(0,1)} = \langle F_a, e^{2\pi i n x} K_a \rangle_{L^2(0,1)} \\ &= \int_0^1 F_a(x) \overline{K_a(x)} e^{-2\pi i n x} dx = \delta_{n,0}, \end{aligned}$$

which implies that  $F_a(x) \overline{K_a(x)} = 1$  a.e. in  $(0, 1)$ , and consequently the function  $1/K_a$  belongs to  $L^2(0, 1)$ .

Conversely, if  $1/K_a$  is in  $L^2(0, 1)$  we define  $S_a = T(1/\overline{K}_a)$ . For  $n \in \mathbb{Z}$  it satisfies

$$S_a(a + n) = \langle \frac{1}{\overline{K}_a}, K_{a+n} \rangle_{L^2(0,1)} = \langle 1, e^{2\pi i n x} \rangle_{L^2(0,1)} = \delta_{n,0}.$$

■

Thus we can characterize stable regular sampling in  $V_\varphi$

**Theorem 3** *Consider  $a \in [0, 1)$  such that the function  $1/K_a \in L^2(0, 1)$ . The following conditions are equivalent:*

(a)  $0 < \|K_a\|_0 \leq \|K_a\|_\infty < \infty$ .

(b) *There exists a Riesz basis  $\{S_n\}_{n \in \mathbb{Z}}$  for  $V_\varphi$  such that, for each  $f \in V_\varphi$ , we have the pointwise expansion*

$$f(t) = \sum_{n \in \mathbb{Z}} f(a + n) S_n(t), \quad t \in \mathbb{R}.$$

*Furthermore, in this case the sampling functions are  $S_n(t) = S_a(t - n)$ , where  $S_a = T(1/\overline{K}_a)$ . The sampling series converges in the  $L^2(\mathbb{R})$ -norm sense, absolutely and uniformly in subsets of  $\mathbb{R}$  where  $\|K_t\|$  is bounded.*

**Proof:** First we prove that (a) implies (b). Consider  $S_a = T(1/\overline{K}_a)$ . Condition (a) implies that  $0 < \|1/\overline{K}_a\|_0 \leq \|1/\overline{K}_a\|_\infty < \infty$  and, as a consequence, Corollary 1 gives that  $\{S_a(t - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ . For each  $f \in V_\varphi$ , there exists a sequence  $\{a_n\}_{n \in \mathbb{Z}}$  in  $\ell^2(\mathbb{Z})$  such that  $f(t) = \sum_{n \in \mathbb{Z}} a_n S_a(t - n)$  where the convergence is also pointwise for each  $t \in \mathbb{R}$  since  $V_\varphi$  is a RKHS. Taking  $t = a + m$ , and using the interpolatory condition  $S_a(a + n) = \delta_{n,0}$ , we obtain that  $a_m = f(a + m)$  for any  $m \in \mathbb{Z}$ .

Conversely, assume that the condition (b) holds. Taking  $f(t) = S_a(t - m)$ ,  $m \in \mathbb{Z}$ , we obtain that  $S_m(t) = S_a(t - m)$  and, as a consequence,  $\{S_a(t - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ . Since  $S_a = T(1/\overline{K}_a)$ , Corollary 1 gives condition (a).

Absolute convergence comes from the unconditional character of a Riesz basis. The uniform convergence is a standard result in the setting of the RKHS theory. ■

A straightforward calculation gives the Fourier transform of  $S_a$ . Indeed,

$$\widehat{S}_a(w) = T(\widehat{1/\overline{K}_a})(w) = \frac{\widehat{\varphi}(w)}{Z\varphi(a, w/2\pi)} \quad a.e. \text{ in } \mathbb{R}.$$

## 6 Irregular sampling in shift-invariant spaces

Usually, one may consider irregular sampling as a perturbation of the regular sampling. In the present setting, we can try to recover any function  $f \in V_\varphi$  from its perturbed samples  $\{f(a+n+\delta_n)\}_{n \in \mathbb{Z}}$ , where  $a \in [0, 1)$  and  $\{\delta_n\}_{n \in \mathbb{Z}}$  is a sequence in  $(-1, 1)$ . Since

$$f(a+n+\delta_n) = \langle F, K_{a+n+\delta_n} \rangle_{L^2(0,1)}, \quad n \in \mathbb{Z}, \text{ where } F = T^{-1}(f) \in L^2(0,1),$$

a challenge problem is to prove that  $\{K_{a+n+\delta_n}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0,1)$ . For, a possibility is to use a perturbation technique on the Riesz basis  $\{K_{a+n}\}_{n \in \mathbb{Z}} = \{K_a e^{2\pi i n x}\}_{n \in \mathbb{Z}}$  which gives the sequence of regular samples  $\{f(a+n)\}_{n \in \mathbb{Z}}$ . As a consequence, we need a perturbation result for those Riesz bases in  $L^2(0,1)$  appearing in Theorem 2.

For an infinite matrix  $M = \{m_{n,k}\}_{n,k \in \mathbb{Z}}$  defining a bounded operator in  $\ell^2(\mathbb{Z})$  we denote its operator norm as  $\|M\|_2 := \sup_{\|c\|_{\ell^2(\mathbb{Z})}=1} \|Mc\|_{\ell^2(\mathbb{Z})}$ .

**Theorem 4** *Let  $F = \sum_{k \in \mathbb{Z}} a_k e^{-2\pi i k x}$  be in  $L^2(0,1)$  such that  $0 < \|F\|_0 \leq \|F\|_\infty < \infty$ . Let  $\{F_n\}_{n \in \mathbb{Z}}$  be a sequence of functions in  $L^2(0,1)$  with Fourier expansions  $F_n = \sum_{k \in \mathbb{Z}} a_k(n) e^{-2\pi i k x}$ ,  $n \in \mathbb{Z}$ . Suppose that the infinite matrix  $D = \{d_{n,k}\}_{n,k \in \mathbb{Z}}$  with entries  $d_{n,k} := a_{n-k}(n) - a_{n-k}$ ,  $n, k \in \mathbb{Z}$ , satisfies the condition  $\|D\|_2 < \|F\|_0$ . Then, the sequence  $\{F_n(x) e^{2\pi i n x}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0,1)$ .*

**Proof:** To this end we use the following result on perturbation of Riesz bases in a Hilbert space  $\mathcal{H}$  which proof can be found in [7, p. 354]: Let  $\{f_k\}_{k=1}^\infty$  be a Riesz basis for  $\mathcal{H}$  with Riesz bounds  $A, B$ , and let  $\{g_k\}_{k=1}^\infty$  be a sequence in  $\mathcal{H}$ . If there exists a constant  $R < A$  such that

$$\sum_{k=1}^{\infty} |\langle f_k - g_k, f \rangle|^2 \leq R \|f\|^2, \quad \text{for each } f \in \mathcal{H},$$

then  $\{g_k\}_{k=1}^\infty$  is a Riesz basis for  $\mathcal{H}$ .



For any  $f = \sum_{j \in \mathbb{Z}} \overline{c_j} e^{2\pi i j x}$  in  $L^2(0, 1)$  we have

$$\begin{aligned} \sum_{n \in \mathbb{Z}} |\langle F_n(x) e^{2\pi i n x} - F(x) e^{2\pi i n x}, f \rangle|^2 &= \sum_{n \in \mathbb{Z}} \left| \left\langle \sum_{k \in \mathbb{Z}} (a_k(n) - a_k) e^{2\pi i (n-k)x}, \sum_{j \in \mathbb{Z}} \overline{c_j} e^{2\pi i j x} \right\rangle \right|^2 \\ &= \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} (a_{n-k}(n) - a_{n-k}) c_k \right|^2 = \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} d_{n,k} c_k \right|^2 = \|D c\|_{\ell^2(\mathbb{Z})}^2 \leq \|D\|_2^2 \|f\|^2. \end{aligned}$$

Taking into account that in our case  $A = \|F\|_0^2$ , we obtain that  $\{F_n(x) e^{2\pi i n x}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0, 1)$ . ■

As a consequence of the above perturbation theorem in  $L^2(0, 1)$ , we obtain an irregular sampling result in  $V_\varphi$

**Theorem 5** *Given  $a \in [0, 1)$  such that  $0 < \|K_a\|_0 \leq \|K_a\|_\infty < \infty$ . Let  $\Delta = \{\delta_n\}_{n \in \mathbb{Z}}$  be a sequence in  $(-1, 1)$  such that the infinite matrix  $D_\Delta = \{d_{n,k}\}_{n,k \in \mathbb{Z}}$  whose entries are given by*

$$d_{n,k} := \overline{\varphi(a + n - k + \delta_n)} - \overline{\varphi(a + n - k)}, \quad n, k \in \mathbb{Z}$$

*satisfies  $\|D_\Delta\|_2 < \|K_a\|_0$ . Then, there exists a Riesz basis  $\{S_n\}_{n \in \mathbb{Z}}$  for  $V_\varphi$  such that any function  $f \in V_\varphi$  can be expanded as*

$$f(t) = \sum_{n \in \mathbb{Z}} f(a + n + \delta_n) S_n(t), \quad t \in \mathbb{R}.$$

*The convergence of the series is absolute and uniform in subsets of  $\mathbb{R}$  where  $\|K_t\|$  is bounded. Also, it converges in the  $L^2(\mathbb{R})$ -norm sense.*

**Proof:** Applying Theorem 4 to

$$K_a(x) = \sum_{k \in \mathbb{Z}} \overline{\varphi(a + k)} e^{-2\pi i k x} \quad \text{and} \quad K_{a+\delta_n}(x) = \sum_{k \in \mathbb{Z}} \overline{\varphi(a + k + \delta_n)} e^{-2\pi i k x}, \quad n \in \mathbb{Z},$$

we obtain that  $\{K_{a+\delta_n} e^{2\pi i n x}\}_{n \in \mathbb{Z}} = \{K_{a+n+\delta_n}\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $L^2(0, 1)$ . Denote by  $\{G_n\}_{n \in \mathbb{Z}}$  its dual Riesz basis. Now, given  $f \in V_\varphi$ , we expand the function  $F = T^{-1}(f) \in L^2(0, 1)$  with respect to  $\{G_n\}_{n \in \mathbb{Z}}$ . Thus,

$$F = \sum_{n \in \mathbb{Z}} \langle F, K_{a+n+\delta_n} \rangle_{L^2(0,1)} G_n = \sum_{n \in \mathbb{Z}} f(a + n + \delta_n) G_n, \quad \text{in } L^2(0, 1).$$

Applying the operator  $T$  we get

$$f = \sum_{n \in \mathbb{Z}} f(a + n + \delta_n) T(G_n), \quad \text{in } L^2(\mathbb{R}).$$

Furthermore, since  $T$  is an invertible bounded operator, the sequence  $\{S_n := T(G_n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ . The pointwise convergence properties of the series come out as in Theorem 3. ■

The next result yields a uniform bound of the norm  $\|D_\Delta\|_2$  regardless the sequence  $\Delta = \{\delta_n\}_{n \in \mathbb{Z}}$  in  $[\alpha, \beta] \subset [-1, 1]$ .

**Theorem 6** *For any sequence  $\Delta = \{\delta_n\}_{n \in \mathbb{Z}}$  in  $[\alpha, \beta]$  the following inequality holds*

$$\|D_\Delta\|_2 \leq \sup_{\{d_n\} \subset [\alpha, \beta]} \sum_{n \in \mathbb{Z}} |\varphi(a + n + d_n) - \varphi(a + n)|. \quad (3)$$

**Proof:** Assume that the second term in the above inequality is finite. Otherwise, the inequality trivially holds. For any  $c = \{c_k\}_{k \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$  we have

$$\begin{aligned} \|D_\Delta c\|_{\ell^2(\mathbb{Z})}^2 &= \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} d_{n,k} c_k \right|^2 \leq \sum_{n \in \mathbb{Z}} \sum_{l, j \in \mathbb{Z}} |d_{n,l}| |c_l| |\overline{d_{n,j}}| |c_j| \\ &= \sum_{l, j \in \mathbb{Z}} |c_l| |c_j| \sum_{n \in \mathbb{Z}} |d_{n,l}| |d_{n,j}| \leq \sum_{l, j \in \mathbb{Z}} \frac{|c_l|^2 + |c_j|^2}{2} \sum_{n \in \mathbb{Z}} |d_{n,l}| |d_{n,j}| \\ &= \sum_{l \in \mathbb{Z}} |c_l|^2 \sum_{j, n \in \mathbb{Z}} |d_{n,l}| |d_{n,j}| \leq \sup_{l \in \mathbb{Z}} \left( \sum_{j, n \in \mathbb{Z}} |d_{n,l}| |d_{n,j}| \right) \|c\|_{\ell^2(\mathbb{Z})}^2 \\ &\leq \sup_{l \in \mathbb{Z}} \left( \sum_{n \in \mathbb{Z}} |d_{n,l}| \right) \sum_{j \in \mathbb{Z}} |d_{n,j}| \|c\|_{\ell^2(\mathbb{Z})}^2. \end{aligned}$$

Having in mind that

$$\begin{aligned} \sum_{j \in \mathbb{Z}} |d_{n,j}| &= \sum_{j \in \mathbb{Z}} |\varphi(a + j - k + \delta_j) - \varphi(a + j - k)| \\ &= \sum_{n \in \mathbb{Z}} |\varphi(a + n + \delta_{n+k}) - \varphi(a + n)|, \end{aligned}$$

we obtain the desired result. ■

A comment about the second term in (3) is in order. Namely, looking for an estimation of the ratio between  $\sum_{n \in \mathbb{Z}} |\varphi(a + n + d_n) - \varphi(a + n)|$  and  $(\sup_n |d_n|)^\lambda$  for a fixed  $\lambda > 0$ , led Chen et al. to introduce in [5] the classes of functions  $L_a^\lambda[\alpha, \beta]$ .

Next we give a particular example when Theorem 6 works. Namely, suppose that the stable generator  $\varphi \in C^1(\mathbb{R})$  and for some  $\epsilon > 0$  it satisfies  $\varphi'(t) = O(|t|^{-(1+\epsilon)})$  as  $|t| \rightarrow \infty$ . Then, it is easy to prove that, for  $\delta \in (0, 1]$

$$M_{\varphi'}(\delta) := \sum_k \max_{I_k(\delta)} |\varphi'(t)| \leq M_{\varphi'}(1) < \infty,$$

where  $I_k(\delta)$  denotes the interval  $[a + k - \delta, a + k + \delta]$ .

**Corollary 2** Let  $\varphi \in C^1(\mathbb{R})$  be a stable generator such that  $M_{\varphi'}(\delta) < \infty$ , where  $\delta := \sup_{n \in \mathbb{Z}} |\delta_n|$ . Then, the condition  $\delta M_{\varphi'}(\delta) < \|K_a\|_0$  implies the existence of a Riesz basis  $\{S_n\}_{n \in \mathbb{Z}}$  for  $V_\varphi$  such that any function in this space can be expanded as

$$f(t) = \sum_{n \in \mathbb{Z}} f(a + n + \delta_n) S_n(t), \quad t \in \mathbb{R}.$$

The convergence in the series is absolute and uniform in subsets of  $\mathbb{R}$  where  $\|K_t\|$  is bounded. It converges also in the  $L^2(\mathbb{R})$ -norm sense.

**Proof:** The mean value theorem gives

$$\sup_{\{d_n\} \subset [-\delta, \delta]} \sum_{n \in \mathbb{Z}} |\varphi(a + n + d_n) - \varphi(a + n)| \leq \delta M_{\varphi'}(\delta).$$

Theorem 5 concludes the proof. ■

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