

# Generalized irregular sampling in shift-invariant spaces

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## Abstract

This article concerns the problem of stable recovering of any function in a shift-invariant space from irregular samples of some filtered versions of the function itself. These samples arise as a perturbation of regular samples. The starting point is the generalized regular sampling theory which allows to recover any function  $f$  in a shift-invariant space from the samples at  $\{rn\}_{n \in \mathbb{Z}}$  of  $s$  filtered versions  $\mathcal{L}_1 f, \mathcal{L}_2 f, \dots, \mathcal{L}_s f$  of  $f$ , where the number of channels  $s$  is greater or equal than the sampling period  $r$ . These regular samples can be expressed as the frame coefficients of a related to  $f$  function in  $L^2(0, 1)$  with respect to certain frame for  $L^2(0, 1)$ . The irregular samples are also obtained as a perturbation of the aforesaid frame. As a natural consequence, the irregular sampling results arise from the theory of perturbation of frames. The paper ends putting the theory to work in some spline examples where Kadec-type results are obtained.

**Keywords:** Shift-invariant spaces, Perturbation of Frames, Generalized irregular sampling.

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## 1 Statement of the problem

Suppose that  $s$  linear-time invariant systems (filters)  $\mathcal{L}_j$ ,  $j = 1, 2, \dots, s$ , are defined on a shift-invariant space  $V_\varphi$  of  $L^2(\mathbb{R})$

$$V_\varphi := \left\{ f(t) = \sum_{n \in \mathbb{Z}} a_n \varphi(t - n) : \{a_n\} \in \ell^2(\mathbb{Z}) \right\},$$

where the function  $\varphi \in L^2(\mathbb{R})$  is a stable generator for  $V_\varphi$ . In [6] it has been proved that any function  $f \in V_\varphi$  is recovered by means of a stable sampling formula which involves the

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samples  $\{(\mathcal{L}_j f)(rn)\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$ , where the sampling period  $r \in \mathbb{N}$  necessarily satisfies  $r \leq s$ . Concretely, the sampling formula for  $f \in V_\varphi$  reads

$$f(t) = \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn) S_j(t - rn), \quad t \in \mathbb{R},$$

where the sequence  $\{S_j(t - rn)\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $V_\varphi$ . This frame is a Riesz basis for  $V_\varphi$  whenever  $r = s$ .

From a practical point of view it is of interest to have a similar result, but for a sequence of samples taken with a nonuniform distribution along the real line. A straightforward application of this result would be the recovering of signals affected by time-jitter error, i.e., taken at points  $t_n = rn + \varepsilon_n$  with  $\varepsilon_n$  some measurement uncertainty. Very often in the mathematical literature, this problem has been solved as a perturbation problem of orthonormal (Riesz) bases or frames in a Hilbert space. A classical example where this methodology applies is the irregular sampling in Paley-Wiener spaces. Indeed, any function in the classical Paley-Wiener space

$$PW_\pi := \{f \in L^2(\mathbb{R}) \cap C(\mathbb{R}) : \text{supp } \hat{f} \subseteq [-\pi, \pi]\},$$

i.e., bandlimited to  $[-\pi, \pi]$ , may be reconstructed from its samples  $\{f(n)\}_{n \in \mathbb{Z}}$  on the integers as

$$f(t) = \sum_{n=-\infty}^{\infty} f(n) \text{sinc}(t - n), \quad t \in \mathbb{R}, \quad (1)$$

where sinc denotes the cardinal sine function,  $\text{sinc}(t) = \sin \pi t / \pi t$ . For any  $f \in PW_\pi$  one has

$$f(t) = \frac{1}{\sqrt{2\pi}} \int_{-\pi}^{\pi} \hat{f}(w) e^{iwt} dw = \left\langle \hat{f}, \frac{e^{-iwt}}{\sqrt{2\pi}} \right\rangle_{L^2[-\pi, \pi]}, \quad t \in \mathbb{R},$$

so any value  $f(t_n)$  of  $f$  is the inner product in  $L^2[-\pi, \pi]$  of its Fourier transform  $\hat{f}$  and the complex exponential  $e^{-it_n w} / \sqrt{2\pi}$ . Thus, expanding  $\hat{f}$  with respect to the orthonormal basis  $\{e^{-inw} / \sqrt{2\pi}\}_{n \in \mathbb{Z}}$  in  $L^2[-\pi, \pi]$ , and applying the inverse Fourier transform  $\mathcal{F}^{-1}$  one deduces the Shannon sampling formula (1). An irregular sampling formula in  $PW_\pi$  at a sequence  $\{t_n\}_{n \in \mathbb{Z}}$  of real points may be obtained by perturbing the orthonormal basis  $\{e^{-inw} / \sqrt{2\pi}\}_{n \in \mathbb{Z}}$  in such a way that the sequence of complex exponentials  $\{e^{-it_n w} / \sqrt{2\pi}\}_{n \in \mathbb{Z}}$  forms a Riesz basis for  $L^2[-\pi, \pi]$ . This is the case if, for instance, the sequence  $\{t_n\}_{n \in \mathbb{Z}} \subset \mathbb{R}$  verifies the Kadec condition:  $\sup_{n \in \mathbb{Z}} |t_n - n| < 1/4$ . Here, the classical Paley-Wiener criterion on stability of bases has been used (see [15, p. 38]). Moreover, the Paley-Wiener-Levinson sampling theorem states that any function  $f \in PW_\pi$  can be recovered from its samples  $\{f(t_n)\}_{n \in \mathbb{Z}}$  by means of the Lagrange-type interpolation series

$$f(t) = \sum_{n=-\infty}^{\infty} f(t_n) \frac{G(t)}{G'(t_n)(t - t_n)}, \quad t \in \mathbb{R},$$

where  $G$  stands for the infinite product  $G(t) := (t - t_0) \prod_{n=1}^{\infty} (1 - t/t_n)(1 - t/t_{-n})$  [15].

This perturbation technique has been successfully used for obtaining irregular sampling formulas in a general shift-invariant space  $V_\varphi$ . See, for instance, the papers of Chen

et al. [1, 2], García et al. [7], Liu and Walter [12], Sun and Zhou [14] and Sun [13]. See also Liu [11].

The main aim in this paper is to recover any function  $f \in V_\varphi$  from the perturbed sequence of samples  $\{(\mathcal{L}_j f)(rn + \varepsilon_{j,n})\}$ . It has been proved in [6] that the regular samples  $\{(\mathcal{L}_j f)(rn)\}$  can be expressed as the frame coefficients of an appropriate function in  $L^2(0, 1)$ , related to  $f$ , with respect to a particular frame in  $L^2(0, 1)$ . Recall that a sequence  $\{f_k\}$  is a frame for a separable Hilbert space  $\mathcal{H}$  if there exist two constants  $A, B > 0$  (frame bounds) such that

$$A\|f\|^2 \leq \sum_k |\langle f, f_k \rangle|^2 \leq B\|f\|^2 \quad \text{for all } f \in \mathcal{H}.$$

Given a frame  $\{f_k\}$  for  $\mathcal{H}$  the representation property of any vector  $f \in \mathcal{H}$  as a series  $f = \sum_k c_k f_k$  is retained, but, unlike the case of Riesz bases, the uniqueness of this representation (for overcomplete frames) is sacrificed. For instance, any  $f \in \mathcal{H}$  can be expanded as

$$f = \sum_k \langle f, S^{-1} f_k \rangle f_k = \sum_k \langle f, f_k \rangle S^{-1} f_k,$$

where  $S^{-1}$  denotes the inverse of the frame operator  $Sf := \sum_k \langle f, f_k \rangle f_k$ , which defines  $\{S^{-1} f_k\}$ , the canonical dual frame of  $\{f_k\}$ . For more details on the frame theory see the superb monograph [3] and references therein. As we prove in Section 3, the irregular samples  $\{(\mathcal{L}_j f)(rn + \varepsilon_{j,n})\}$  are obtained as a perturbation of the aforesaid frame. Thus, the theory on perturbation of frames (see [3, Chapter 15]) yields generalized irregular sampling in the shift-invariant space  $V_\varphi$  for suitable error sequences  $\{\varepsilon_{j,n}\}$ . Moreover, for some important examples, the allowed sequences  $\{\varepsilon_{j,n}\}$  will be given in terms of  $\sup_{j,n} |\varepsilon_{j,n}|$ .

## 2 Preliminaries on generalized sampling in shift-invariant spaces

In this section we introduce the preliminaries on shift-invariant spaces needed in the sequel. Also, we present the generalized regular sampling theory for these spaces as stated in [6].

### 2.1 Shift-invariant spaces

Let  $\varphi \in L^2(\mathbb{R})$  be a stable generator for the shift-invariant space

$$V_\varphi := \left\{ \sum_{n \in \mathbb{Z}} a_n \varphi(\cdot - n) : \{a_n\} \in \ell^2(\mathbb{Z}) \right\} \subset L^2(\mathbb{R}),$$

i.e., the sequence  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$  is a Riesz basis for  $V_\varphi$ . A Riesz basis in a separable Hilbert space is the image of an orthonormal basis by means of a bounded invertible operator. Recall that the sequence  $\{\varphi(\cdot - n)\}_{n \in \mathbb{Z}}$  is a Riesz sequence, i.e., a Riesz basis for  $V_\varphi$  if and only if  $0 < \|\Phi\|_0 \leq \|\Phi\|_\infty < \infty$ , where  $\|\Phi\|_0$  denotes the essential infimum of the function  $\Phi(w) := \sum_{k \in \mathbb{Z}} |\widehat{\varphi}(w + k)|^2$  in  $(0, 1)$ , and  $\|\Phi\|_\infty$  its essential supremum ( $\widehat{\varphi}$  stands

for the Fourier transform  $\widehat{\varphi}(w) := \int_{-\infty}^{\infty} \varphi(t)e^{-2\pi itw} dt$ . Furthermore,  $\|\Phi\|_0$  and  $\|\Phi\|_{\infty}$  are the optimal Riesz bounds [3, p. 143].

We assume throughout the paper that the functions in the shift-invariant space  $V_{\varphi}$  are continuous on  $\mathbb{R}$ . Equivalently, that the generator  $\varphi$  is continuous on  $\mathbb{R}$  and the function  $\sum_{n \in \mathbb{Z}} |\varphi(t-n)|^2$  is uniformly bounded on  $\mathbb{R}$  (see [16]). Thus, any  $f \in V_{\varphi}$  is defined on  $\mathbb{R}$  as the pointwise sum  $f(t) = \sum_{n \in \mathbb{Z}} a_n \varphi(t-n)$ .

Besides,  $V_{\varphi}$  is a reproducing kernel Hilbert space (RKHS) since the evaluation functionals are bounded in  $V_{\varphi}$ . Indeed, for each fixed  $t \in \mathbb{R}$  we have

$$|f(t)|^2 \leq \frac{\|f\|^2}{\|\Phi\|_0} \sum_{n \in \mathbb{Z}} |\varphi(t-n)|^2, \quad f \in V_{\varphi}, \quad (2)$$

where we have used Cauchy-Schwartz's inequality in  $f(t) = \sum_{n \in \mathbb{Z}} a_n \varphi(t-n)$ , and the Riesz basis condition

$$\|\Phi\|_0 \sum_{n \in \mathbb{Z}} |a_n|^2 \leq \|f\|^2 \leq \|\Phi\|_{\infty} \sum_{n \in \mathbb{Z}} |a_n|^2, \quad f \in V_{\varphi}.$$

Inequality (2) shows that convergence in the  $L^2(\mathbb{R})$ -norm implies pointwise convergence which is uniform on  $\mathbb{R}$ .

On the other hand, the space  $V_{\varphi}$  is the image of  $L^2(0,1)$  by means of the isomorphism  $\mathcal{T} : L^2(0,1) \rightarrow V_{\varphi}$  which maps the orthonormal basis  $\{e^{-2\pi inw}\}_{n \in \mathbb{Z}}$  for  $L^2(0,1)$  onto the Riesz basis  $\{\varphi(t-n)\}_{n \in \mathbb{Z}}$  for  $V_{\varphi}$  (see [7]), i.e.,

$$(\mathcal{T}F)(t) := \sum_{n \in \mathbb{Z}} \langle F(\cdot), e^{-2\pi in\cdot} \rangle_{L^2(0,1)} \varphi(t-n), \quad F \in L^2(0,1).$$

Notice that for each  $F \in L^2(0,1)$  the function  $f = \mathcal{T}F$  is given by

$$f(t) = \langle F, K_t \rangle_{L^2(0,1)}, \quad t \in \mathbb{R}.$$

The kernel transform  $t \in \mathbb{R} \rightarrow K_t \in L^2(0,1)$  is defined as  $K_t(x) := \overline{Z\varphi}(t,x)$  where  $Z\varphi$  denotes the Zak transform of  $\varphi$ . Recall that the Zak transform of  $f \in L^2(\mathbb{R})$  is formally defined in  $\mathbb{R}^2$  as  $(Zf)(t,w) := \sum_{n \in \mathbb{Z}} f(t+n)e^{-2\pi inw}$ . See [9, 10] for properties and uses of the Zak transform.

The following shifting property of  $\mathcal{T}$  will be used later: For  $F \in L^2(0,1)$ ,  $r \in \mathbb{N}$  and  $n \in \mathbb{Z}$  we have

$$\mathcal{T}[F(\cdot)e^{-2\pi irn\cdot}](t) = \mathcal{T}[F](t-rn), \quad t \in \mathbb{R}. \quad (3)$$

## 2.2 Generalized regular sampling

In this section we introduce the generalized regular sampling theory in a shift-invariant space  $V_{\varphi}$ . These sampling formulas involve samples of filtered versions of the functions in  $V_{\varphi}$ . As in [6], we distinguish two types of linear-time invariant systems  $\mathcal{L}_j$ :

(a) The impulse response  $l_j$  of  $\mathcal{L}_j$  belongs to  $L^1(\mathbb{R}) \cap L^2(\mathbb{R})$ . Thus, for any  $f \in V_{\varphi}$  we have

$$(\mathcal{L}_j f)(t) := [f * l_j](t) = \int_{-\infty}^{\infty} f(x)l_j(t-x)dx = \langle f(\cdot), \phi_j(\cdot-t) \rangle_{L^2(\mathbb{R})}, \quad t \in \mathbb{R},$$

where  $\phi_j(t) := \overline{l_j(-t)}$ . Notice that  $\mathcal{L}_j f$  is a continuous and bounded function in  $L^2(\mathbb{R})$ .

- (b) The impulse response  $l_j$  has the form  $l_j = \sum_{k=0}^N c_k \delta^{(k)}(t + d_k)$  where  $\delta^{(k)}$  denotes the  $k$ -th derivative of the Dirac delta and  $c_k, d_k$  are constants for  $k = 0, 1, \dots, N$ . For each  $f \in V_\varphi$  we have

$$(\mathcal{L}_j f)(t) := \sum_{k=0}^N c_k f^{(k)}(t + d_k), \quad t \in \mathbb{R}.$$

In this case we also assume that  $\varphi^{(N)}$  exists on  $\mathbb{R}$ , and  $\sum_{n \in \mathbb{Z}} |\varphi^{(k)}(t - n)|^2$  is uniformly bounded on  $\mathbb{R}$  for each  $k = 0, 1, \dots, N$ .

Whenever  $\mathcal{L}_j$  is a filter of the type (a) or (b) above, for any  $t \in \mathbb{R}$  the sequence  $\{(\mathcal{L}_j \varphi)(t + n)\}_{n \in \mathbb{Z}}$  belongs to  $\ell^2(\mathbb{Z})$  (see [6, Lemma 1]). Thus, for any fixed  $t \in \mathbb{R}$ , the Zak transform  $(Z\mathcal{L}_j \varphi)(t, w) = \sum_{n \in \mathbb{Z}} \mathcal{L}_j \varphi(t + n) e^{-2\pi i n w}$  defines a function in  $L^2(0, 1)$ . For notational ease we choose  $t = 0$  without loss of generality. For  $j = 1, 2, \dots, s$ , the functions  $g_j$  in  $L^2(0, 1)$  defined by

$$g_j(w) := \sum_{n \in \mathbb{Z}} \mathcal{L}_j \varphi(n) e^{-2\pi i n w} = (Z\mathcal{L}_j \varphi)(0, w), \quad j = 1, 2, \dots, s, \quad (4)$$

play an important role since they allow a representation of the samples  $\{(\mathcal{L}_j f)(rn)\}$ . Namely: Let  $f$  be a function in  $V_\varphi$  such that  $f = \mathcal{T}F$  where  $F \in L^2(0, 1)$ . For every  $j = 1, 2, \dots, s$ , we have

$$(\mathcal{L}_j f)(rn) = \langle F(\cdot), \bar{g}_j(\cdot) e^{-2\pi i r n \cdot} \rangle_{L^2(0,1)}, \quad n \in \mathbb{Z}. \quad (5)$$

Equation (5) leads us to study when a sequence  $\{a_j(\cdot) e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  (or equivalently the sequence  $\{\bar{a}_j(\cdot) e^{2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$ ), where  $a_j \in L^2(0, 1)$  for each  $j = 1, 2, \dots, s$ , is a Bessel sequence, a frame, or a Riesz basis for  $L^2(0, 1)$ . To this end, associated with the functions  $a_j$ ,  $j = 1, 2, \dots, s$ , we introduce the  $s \times r$  matrix function defined for  $w \in (0, 1)$  as

$$\mathbf{A}(w) := \begin{bmatrix} a_1(w) & a_1(w + \frac{1}{r}) & \cdots & a_1(w + \frac{r-1}{r}) \\ a_2(w) & a_2(w + \frac{1}{r}) & \cdots & a_2(w + \frac{r-1}{r}) \\ \vdots & \vdots & & \vdots \\ a_s(w) & a_s(w + \frac{1}{r}) & \cdots & a_s(w + \frac{r-1}{r}) \end{bmatrix} = \left[ a_j \left( w + \frac{k-1}{r} \right) \right]_{\substack{j=1,2,\dots,s \\ k=1,2,\dots,r}}$$

and its related constants

$$\alpha_{\mathbf{A}} := \operatorname{ess\,inf}_{w \in (0, 1/r)} \lambda_{\min}[\mathbf{A}^*(w)\mathbf{A}(w)], \quad \beta_{\mathbf{A}} := \operatorname{ess\,sup}_{w \in (0, 1/r)} \lambda_{\max}[\mathbf{A}^*(w)\mathbf{A}(w)],$$

where  $\mathbf{A}^*(w)$  denotes the transpose conjugate of the matrix  $\mathbf{A}(w)$ , and  $\lambda_{\min}$  (respectively  $\lambda_{\max}$ ) the smallest (respectively the largest) eigenvalue of the positive semidefinite matrix  $\mathbf{A}^*(w)\mathbf{A}(w)$ . Observe that  $0 \leq \alpha_{\mathbf{A}} \leq \beta_{\mathbf{A}} \leq \infty$ . Notice that in the definition of the matrix  $\mathbf{A}(w)$  we are considering the 1-periodic extensions of the involved functions  $a_j$ ,  $j = 1, 2, \dots, s$ . Next Lemma gives the answer:

**Lemma 1** Let  $a_j$  be in  $L^2(0, 1)$  for  $j = 1, 2, \dots, s$  and let  $\mathbf{A}(w)$  be its associated matrix. Then,

- (i) The sequence  $\{\bar{a}_j(\cdot)e^{2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a Bessel sequence in  $L^2(0, 1)$  if and only if  $a_j \in L^\infty(0, 1)$  for  $j = 1, \dots, s$ . In this case, the optimal Bessel bound is  $\beta_{\mathbf{A}}/r$ .
- (ii) The sequence  $\{\bar{a}_j(\cdot)e^{2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $L^2(0, 1)$  if and only if  $0 < \alpha_{\mathbf{A}} \leq \beta_{\mathbf{A}} < \infty$ . In this case, the optimal frame bounds are  $\alpha_{\mathbf{A}}/r$  and  $\beta_{\mathbf{A}}/r$ .
- (iii) The sequence  $\{\bar{a}_j(\cdot)e^{2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a Riesz basis for  $L^2(0, 1)$  if and only if it is a frame for  $L^2(0, 1)$  and  $r = s$ .

For the proof of (i) and (ii) see [6]. Concerning (iii), it can be proved by using that a frame is a Riesz basis if and only if it has a biorthogonal sequence [3, p. 124]. In this case, this biorthogonal sequence is precisely  $\{sb_j(\cdot)e^{2\pi i s n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  where  $[b_1, b_2, \dots, b_s]$  is the first row of the matrix  $\mathbf{A}^{-1}$ .

Assume that the function  $g_j \in L^\infty(0, 1)$  for  $j = 1, 2, \dots, s$ , and that there exists a vector  $[a_1(w), \dots, a_s(w)]$  with entries in  $L^\infty(0, 1)$  such that

$$[a_1(w), \dots, a_s(w)] \mathbf{G}(w) = [1, 0, \dots, 0] \quad \text{a.e. in } (0, 1),$$

where  $\mathbf{G}(w)$  denotes the corresponding  $\mathbf{A}(w)$  matrix for the functions  $g_j$ ,  $j = 1, 2, \dots, s$ , in (4). It has been proved in [6] that any  $F \in L^2(0, 1)$  can be expanded in terms of the dual frames  $\{ra_j(\cdot)e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  and  $\{\bar{g}_j(\cdot)e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  for  $L^2(0, 1)$  as

$$\begin{aligned} F(w) &= r \sum_{n \in \mathbb{Z}} \sum_{j=1}^s \langle F(\cdot), \bar{g}_j(\cdot)e^{-2\pi i r n \cdot} \rangle_{L^2(0,1)} a_j(w) e^{-2\pi i r n w} \\ &= r \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn) a_j(w) e^{-2\pi i r n w} \quad \text{in } L^2(0, 1). \end{aligned}$$

Thus, the isomorphism  $\mathcal{T}$  gives the following sampling formula in  $V_\varphi$

$$f(t) = r \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn) (\mathcal{T} a_j)(t - rn), \quad t \in \mathbb{R},$$

where we have used (3). In fact, much more can be said about the above sampling formula in  $V_\varphi$  (see Theorem 1, Theorem 2 and Corollary 1 in [6]):

**Theorem 1** Assume that the function  $g_j \in L^\infty(0, 1)$  for each  $j = 1, 2, \dots, s$ . Then the following statements are equivalent

- (a)  $\alpha_{\mathbf{G}} > 0$ .
- (b) There exists a frame for  $V_\varphi$  having the form  $\{S_j(t - rn)\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  such that for any  $f \in V_\varphi$ ,

$$f = \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn) S_j(\cdot - rn) \quad \text{in } L^2(\mathbb{R}), \quad (6)$$

(c) There exist functions  $a_j \in L^\infty(0, 1)$ ,  $j = 1, 2, \dots, s$ , such that

$$[a_1(w), \dots, a_s(w)] \mathbf{G}(w) = [1, 0, \dots, 0] \quad \text{a.e. in } (0, 1). \quad (7)$$

In case the equivalent conditions are satisfied, the reconstruction functions in (6) are given by  $S_j = r\mathcal{T}a_j$ , where the functions  $a_j$ ,  $j = 1, 2, \dots, s$ , satisfy (7). The convergence of the series in (6) is also absolute and uniform on  $\mathbb{R}$ . If  $r = s$  then there exists a unique frame  $\{S_j(t - sn)\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  for  $V_\varphi$  for which the sampling formula (6) holds. Moreover, this frame is a Riesz basis for  $V_\varphi$ , and the corresponding functions  $a_j$ ,  $j = 1, 2, \dots, s$ , form the first row of the matrix  $\mathbf{G}^{-1}$ .

### 3 Generalized irregular sampling

The key point for generalized regular sampling is Equation (5) which allows us to represent the regular samples  $\{(\mathcal{L}_j f)(rn)\}$  by means of the frame  $\{(\overline{Z\mathcal{L}_j\varphi})(0, \cdot)e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  for  $L^2(0, 1)$ . In this section we prove that the perturbed samples  $\{(\mathcal{L}_j f)(rn + \varepsilon_{j,n})\}$  are obtained from a perturbed version of the above frame. Thus, stable generalized irregular sampling may be studied from the perturbation theory of frames.

#### 3.1 An expression for the irregular samples

Whenever the linear system  $\mathcal{L}_j$  is of the type (a), the Minkowski inequality for integrals shows that the sequence  $\{(\mathcal{L}_j \varphi)(t + n)\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$  for any fixed  $t \in \mathbb{R}$  (see [6, Lemma 1]). Trivially, the same applies for  $\mathcal{L}_j$  of the type (b). Therefore, for any fixed  $t \in \mathbb{R}$ , the function  $(Z\mathcal{L}_j \varphi)(t, w) := \sum_{n \in \mathbb{Z}} (\mathcal{L}_j \varphi)(t + n) e^{-2\pi i n w}$  belongs to  $L^2(0, 1)$  and the following expression for the perturbed samples  $(\mathcal{L}_j f)(rn + \varepsilon_{j,n})$  holds:

**Lemma 2** *Let  $f$  be a function in  $V_\varphi$  such that  $f = \mathcal{T}F$  where  $F \in L^2(0, 1)$ . For  $n \in \mathbb{Z}$ ,  $j = 1, 2, \dots, s$ , and  $\varepsilon_{j,n} \in \mathbb{R}$  we have*

$$(\mathcal{L}_j f)(rn + \varepsilon_{j,n}) = \langle F(\cdot), \overline{(Z\mathcal{L}_j \varphi)(\varepsilon_{j,n}, \cdot)} e^{-2\pi i r n \cdot} \rangle_{L^2(0,1)}.$$

**Proof:** Assume that  $\mathcal{L}_j$  is a system of the type (a). For each  $n \in \mathbb{Z}$  we have

$$\begin{aligned} (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) &= \langle f(\cdot), \phi_j(\cdot - rn - \varepsilon_{j,n}) \rangle_{L^2(\mathbb{R})} \\ &= \left\langle \sum_{k \in \mathbb{Z}} \langle F(\cdot), e^{-2\pi i k \cdot} \rangle_{L^2(0,1)} \varphi(\cdot - k), \phi_j(\cdot - rn - \varepsilon_{j,n}) \right\rangle_{L^2(\mathbb{R})} \\ &= \sum_{k \in \mathbb{Z}} \langle F(\cdot), e^{-2\pi i k \cdot} \rangle_{L^2(0,1)} \mathcal{L}_j \varphi(rn + \varepsilon_{j,n} - k). \end{aligned}$$

Parseval's equality and a change in the summation index gives

$$\begin{aligned} (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) &= \langle F(\cdot), \sum_{k \in \mathbb{Z}} \overline{\mathcal{L}_j \varphi(rn + \varepsilon_{j,n} - k)} e^{-2\pi i k \cdot} \rangle_{L^2(0,1)} \\ &= \langle F(\cdot), \overline{(Z\mathcal{L}_j \varphi)(\varepsilon_{j,n}, \cdot)} e^{-2\pi i r n \cdot} \rangle_{L^2(0,1)}. \end{aligned}$$

Assume now that  $\mathcal{L}_j$  is a system of the type (b). Under our hypotheses on  $\mathcal{L}_j$ , for each  $k = 0, 1, \dots, N$  we have that  $f^{(k)}(t) = \sum_{l \in \mathbb{Z}} \langle F(\cdot), e^{-2\pi i l \cdot} \rangle_{L^2(0,1)} \varphi^{(k)}(t - l)$ . Hence, for each  $n \in \mathbb{Z}$ , one gets

$$\begin{aligned} (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) &= \sum_{k=0}^N c_k f^{(k)}(rn + \varepsilon_{j,n} + d_k) \\ &= \sum_{k=0}^N c_k \sum_{l \in \mathbb{Z}} \langle F(\cdot), e^{-2\pi i l \cdot} \rangle_{L^2(0,1)} \varphi^{(k)}(rn + \varepsilon_{j,n} + d_k - l) \\ &= \langle F(\cdot), \sum_{k=0}^N \bar{c}_k \sum_{l \in \mathbb{Z}} \bar{\varphi}^{(k)}(rn + \varepsilon_{j,n} + d_k - l) e^{-2\pi i l \cdot} \rangle_{L^2(0,1)} \\ &= \langle F(\cdot), \overline{(\mathcal{Z}\mathcal{L}_j\varphi)}(\varepsilon_{j,n}, \cdot) e^{-2\pi i r n \cdot} \rangle_{L^2(0,1)}. \end{aligned}$$

■

It is worth to point out that the same proof in Lemma 2 shows that

$$\mathcal{L}_j f(t) = \langle F(\cdot), \overline{(\mathcal{Z}\mathcal{L}_j\varphi)}(t, \cdot) \rangle_{L^2(0,1)} \quad t \in \mathbb{R}$$

for any linear system  $\mathcal{L}_j$  of the type (a) or (b).

Lemma 2 leads us to study when the sequence  $\{\overline{(\mathcal{Z}\mathcal{L}_j\varphi)}(\varepsilon_{j,n}, \cdot) e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $L^2(0,1)$ . On the other hand, we know that, whenever  $0 < \alpha_{\mathbf{G}} \leq \beta_{\mathbf{G}} < \infty$ , the sequence  $\{\overline{(\mathcal{Z}\mathcal{L}_j\varphi)}(0, \cdot) e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $L^2(0,1)$  with optimal frame bounds  $\alpha_{\mathbf{G}}/r$  and  $\beta_{\mathbf{G}}/r$ . In the case of  $r = s$ , the above sequence is a Riesz basis for  $L^2(0,1)$ . One possibility is to use the theory of perturbation of frames in order to find the suitable error sequences for which the sequence  $\{\overline{(\mathcal{Z}\mathcal{L}_j\varphi)}(\varepsilon_{j,n}, \cdot) e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $L^2(0,1)$ . The following result on perturbation of frames which proof is in [3, p. 354] will be used later:

**Lemma 3** *Let  $\{f_k\}_{k=1}^{\infty}$  be a frame for the Hilbert space  $\mathcal{H}$  with frame bounds  $A, B$ , and let  $\{g_k\}_{k=1}^{\infty}$  be a sequence in  $\mathcal{H}$ . If there exists a constant  $R < A$  such that*

$$\sum_{k=1}^{\infty} |\langle f_k - g_k, f \rangle|^2 \leq R \|f\|^2 \quad \text{for each } f \in \mathcal{H},$$

*then  $\{g_k\}_{k=1}^{\infty}$  is a frame for  $\mathcal{H}$  with bounds*

$$A \left(1 - \sqrt{\frac{R}{A}}\right)^2, \quad B \left(1 + \sqrt{\frac{R}{B}}\right)^2.$$

*If  $\{f_k\}_{k=1}^{\infty}$  is a Riesz basis, then  $\{g_k\}_{k=1}^{\infty}$  is a Riesz basis.*

### 3.2 The resulting sampling theory

Given an error sequence  $\varepsilon := \{\varepsilon_{j,n}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  we define on  $\ell^2(\mathbb{Z})$  the operator  $D_{\varepsilon} = [D_{\varepsilon,1}, \dots, D_{\varepsilon,s}]$ , where

$$D_{\varepsilon,j} c := \left\{ \sum_{k \in \mathbb{Z}} [\mathcal{L}_j \varphi(rn - k + \varepsilon_{j,n}) - \mathcal{L}_j \varphi(rn - k)] c_k \right\}_{n \in \mathbb{Z}}$$



for each  $c = \{c_l\}_{l \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$ . The operator norm is defined as usual

$$\|D_\varepsilon\| := \sup_{c \in \ell^2(\mathbb{Z}) \setminus \{0\}} \frac{\|D_\varepsilon c\|_{\ell^2_s(\mathbb{Z})}}{\|c\|_{\ell^2(\mathbb{Z})}},$$

where  $\|D_\varepsilon c\|_{\ell^2_s(\mathbb{Z})} := \sum_{j=1}^s \|D_{\varepsilon,j} c\|_{\ell^2(\mathbb{Z})}^2$  for each  $c \in \ell^2(\mathbb{Z})$ .

**Theorem 2** *Assume that  $g_j \in L^\infty(0,1)$  for  $j = 1, 2, \dots, s$  with  $\alpha_{\mathbf{G}} > 0$ . If the error sequence  $\varepsilon := \{\varepsilon_{j,n}\}_{n \in \mathbb{Z}, j=1, \dots, s}$  satisfies the inequality  $\|D_\varepsilon\|^2 < \alpha_{\mathbf{G}}/r$ , then there exists a frame  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1, 2, \dots, s}$  for  $V_\varphi$  such that, for any  $f \in V_\varphi$*

$$f(t) = \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) S_{j,n}^\varepsilon(t) \quad t \in \mathbb{R}, \quad (8)$$

where the convergence of the series is in the  $L^2(\mathbb{R})$ -sense, absolute and uniform on  $\mathbb{R}$ . Moreover, when  $r = s$  the sequence  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1, 2, \dots, s}$  is a Riesz basis for  $V_\varphi$ , and the interpolation property  $(\mathcal{L}_l S_{j,n}^\varepsilon)(sm + \varepsilon_{j,m}) = \delta_{j,l} \delta_{n,m}$  holds.

**Proof:** The sequence  $\{(\overline{Z\mathcal{L}_j\varphi})(0, \cdot)e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1, 2, \dots, s}$  is a frame (a Riesz basis if  $r = s$ ) for  $L^2(0,1)$  with frame (Riesz) bounds  $\alpha_{\mathbf{G}}/r$  and  $\beta_{\mathbf{G}}/r$ . For any  $F(w) = \sum_{l \in \mathbb{Z}} c_l e^{-2\pi i l w}$  in

$L^2(0,1)$  we have

$$\begin{aligned} & \sum_{j=1}^s \sum_{n \in \mathbb{Z}} \left| \left\langle (\overline{Z\mathcal{L}_j\varphi})(\varepsilon_{j,n}, \cdot)e^{-2\pi i r n \cdot} - (\overline{Z\mathcal{L}_j\varphi})(0, \cdot)e^{-2\pi i r n \cdot}, F(\cdot) \right\rangle_{L^2(0,1)} \right|^2 \\ &= \sum_{j=1}^s \sum_{n \in \mathbb{Z}} \left| \left\langle \sum_{k \in \mathbb{Z}} [\overline{\mathcal{L}_j\varphi}(k + \varepsilon_{j,n}) - \overline{\mathcal{L}_j\varphi}(k)] e^{-2\pi i (r n - k) \cdot}, \sum_{l \in \mathbb{Z}} c_l e^{-2\pi i l \cdot} \right\rangle_{L^2(0,1)} \right|^2 \\ &= \sum_{j=1}^s \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} [\overline{\mathcal{L}_j\varphi}(k + \varepsilon_{j,n}) - \overline{\mathcal{L}_j\varphi}(k)] \bar{c}_{r n - k} \right|^2 \\ &= \sum_{j=1}^s \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} [\mathcal{L}_j\varphi(r n - k + \varepsilon_{j,n}) - \mathcal{L}_j\varphi(r n - k)] c_k \right|^2 \\ &= \sum_{j=1}^s \|D_{\varepsilon,j} \{c_l\}_{l \in \mathbb{Z}}\|_{\ell^2(\mathbb{Z})}^2 \leq \|D_\varepsilon\|^2 \|\{c_l\}_{l \in \mathbb{Z}}\|_{\ell^2(\mathbb{Z})}^2 = \|D_\varepsilon\|^2 \|F\|_{L^2(0,1)}^2. \end{aligned} \quad (9)$$

By using Lemma 3 we obtain that the sequence  $\{(\overline{Z\mathcal{L}_j\varphi})(\varepsilon_{j,n}, \cdot)e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1, 2, \dots, s}$  is a frame for  $L^2(0,1)$  (a Riesz basis if  $r = s$ ). Let  $\{h_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1, 2, \dots, s}$  be its canonical dual frame. Hence, for any  $F \in L^2(0,1)$

$$\begin{aligned} F &= \sum_{n \in \mathbb{Z}} \sum_{j=1}^s \left\langle F(\cdot), (\overline{Z\mathcal{L}_j\varphi})(\varepsilon_{j,n}, \cdot)e^{-2\pi i r n \cdot} \right\rangle_{L^2(0,1)} h_{j,n}^\varepsilon \\ &= \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) h_{j,n}^\varepsilon. \end{aligned}$$

Applying the isomorphism  $\mathcal{T}$ , one gets (8) in  $L^2(\mathbb{R})$  where  $S_{j,n}^\varepsilon = \mathcal{T}h_{j,n}^\varepsilon$ . Since  $\mathcal{T}$  is an isomorphism between  $L^2(0,1)$  and  $V_\varphi$ ,  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $V_\varphi$  (a Riesz basis if  $r = s$ ).

Pointwise convergence in the sampling series is absolute due to the unconditional character of a frame. The uniform convergence on  $\mathbb{R}$  is a consequence of (2). The interpolatory property in the case  $r = s$  follows from the uniqueness of the coefficients with respect to a Riesz basis. ■

The next result yields a uniform bound of the norm  $\|D_\varepsilon\|$  regardless the sequence  $\varepsilon$  such that  $\{\varepsilon_{j,n}\}_{n \in \mathbb{Z}}$  is in  $[\alpha_j, \beta_j] \subset [-r, r]$ , for each  $j = 1, 2, \dots, s$ .

**Theorem 3** *For any sequence  $\varepsilon$  such that  $\{\varepsilon_{j,n}\}_{n \in \mathbb{Z}} \subset [\alpha_j, \beta_j] \subset [-r, r]$  for each  $j = 1, 2, \dots, s$  the following inequality holds*

$$\|D_\varepsilon\|^2 \leq \sum_{j=1}^s \Lambda_j \Gamma_j, \quad (10)$$

where, for each  $j = 1, 2, \dots, s$ , the constants  $\Lambda_j$  and  $\Gamma_j$  are given by

$$\Lambda_j := \sup_{\substack{l=0,1,\dots,r-1 \\ \{d_k\} \subset [\alpha_j, \beta_j]}} \sum_{k \in \mathbb{Z}} |\mathcal{L}_j \varphi(rk + l + d_k) - \mathcal{L}_j \varphi(rk + l)|,$$

$$\Gamma_j := \sup_{d \in [\alpha_j, \beta_j]} \sum_{k \in \mathbb{Z}} |\mathcal{L}_j \varphi(k + d) - \mathcal{L}_j \varphi(k)|.$$

**Proof:** Suppose that  $\sum_{j=1}^s \Lambda_j \Gamma_j < \infty$ ; otherwise the result obviously holds. Denoting

$$d_{n,k}^{(j)} := \mathcal{L}_j \varphi(rn - k + \varepsilon_{j,n}) - \mathcal{L}_j \varphi(rn - k),$$

for  $k, n \in \mathbb{Z}$ , the inequalities

$$\sum_{l \in \mathbb{Z}} |d_{l,k}^{(j)}| \leq \Lambda_j \quad \text{and} \quad \sum_{l \in \mathbb{Z}} |d_{n,l}^{(j)}| \leq \Gamma_j$$

hold. For any sequence  $c = \{c_k\}_{k \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$  we have

$$\begin{aligned} \|D_\varepsilon c\|_{\ell_s^2(\mathbb{Z})}^2 &= \sum_{j=1}^s \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} d_{n,k}^{(j)} c_k \right|^2 \leq \sum_{j=1}^s \sum_{n \in \mathbb{Z}} \sum_{l,k \in \mathbb{Z}} |d_{n,l}^{(j)} c_l \bar{d}_{n,k}^{(j)} \bar{c}_k| \\ &= \sum_{j=1}^s \sum_{l,k \in \mathbb{Z}} |c_l| |c_k| \sum_{n \in \mathbb{Z}} |d_{n,l}^{(j)} d_{n,k}^{(j)}| \leq \sum_{j=1}^s \sum_{l,k \in \mathbb{Z}} \frac{|c_l|^2 + |c_k|^2}{2} \sum_{n \in \mathbb{Z}} |d_{n,l}^{(j)} d_{n,k}^{(j)}| \\ &= \sum_{j=1}^s \sum_{l \in \mathbb{Z}} |c_l|^2 \sum_{k,n \in \mathbb{Z}} |d_{n,l}^{(j)} d_{n,k}^{(j)}| \leq \sum_{j=1}^s \sum_{l \in \mathbb{Z}} |c_l|^2 \Gamma_j \sum_{n \in \mathbb{Z}} |d_{n,l}^{(j)}| \\ &\leq \sum_{j=1}^s \sum_{l \in \mathbb{Z}} |c_l|^2 \Gamma_j \Lambda_j = \left( \sum_{j=1}^s \Lambda_j \Gamma_j \right) \|c\|_{\ell^2(\mathbb{Z})}^2, \end{aligned}$$

which concludes the proof.

Next we give a particular example when Theorem 3 works. Namely, suppose that, for each  $j = 1, 2, \dots, s$ , the function  $\mathcal{L}_j\varphi \in \mathcal{C}^1(\mathbb{R})$ , and there exists  $\eta_j > 0$  such that  $(\mathcal{L}_j\varphi)'(t) = O(|t|^{-(1+\eta_j)})$  whenever  $|t| \rightarrow \infty$ . Then, it is easy to check that, for  $\delta_j > 0$ ,

$$M_{(\mathcal{L}_j\varphi)'(\delta_j)} := \sum_k \max_{t \in [k-\delta_j, k+\delta_j]} |(\mathcal{L}_j\varphi)'(t)| < \infty.$$

**Corollary 1** *Suppose that, for each  $j = 1, 2, \dots, s$ , the function  $\mathcal{L}_j\varphi \in \mathcal{C}^1(\mathbb{R})$  and  $M_{(\mathcal{L}_j\varphi)'(\delta_j)} < \infty$ , where  $\delta_j := \sup_{n \in \mathbb{Z}} |\varepsilon_{j,n}|$ . Consider*

$$N_{(\mathcal{L}_j\varphi)'(\delta_j)} := \sup_{l=0,1,\dots,r-1} \sum_k \max_{t \in [rk+l-\delta_j, rk+l+\delta_j]} |(\mathcal{L}_j\varphi)'(t)|.$$

Then, the condition

$$\sum_{j=1}^s \delta_j^2 N_{(\mathcal{L}_j\varphi)'(\delta_j)} M_{(\mathcal{L}_j\varphi)'(\delta_j)} < \frac{\alpha_{\mathbf{G}}}{r}$$

implies the existence of a frame  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  for  $V_\varphi$  such that, for any  $f \in V_\varphi$

$$f(t) = \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) S_{j,n}^\varepsilon(t), \quad t \in \mathbb{R},$$

uniformly on  $\mathbb{R}$ . If  $r = s$ , the sequence  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a Riesz basis for  $V_\varphi$ .

**Proof:** For each  $j = 1, 2, \dots, s$ , the mean value theorem gives

$$\sup_{d \in [-\delta_j, \delta_j]} \sum_{k \in \mathbb{Z}} |\mathcal{L}_j\varphi(k+d) - \mathcal{L}_j\varphi(k)| \leq \delta_j M_{(\mathcal{L}_j\varphi)'(\delta_j)},$$

and

$$\sup_{\substack{l=0,1,\dots,r-1 \\ \{d_k\} \subset [-\delta_j, \delta_j]}} \sum_{k \in \mathbb{Z}} |\mathcal{L}_j\varphi(rk+l+d_k) - \mathcal{L}_j\varphi(rk+l)| \leq \delta_j N_{(\mathcal{L}_j\varphi)'(\delta_j)}.$$

Theorem 2 concludes the proof. ■

Notice that  $N_{(\mathcal{L}_j\varphi)'(\delta_j)} \leq M_{(\mathcal{L}_j\varphi)'(\delta_j)}$ . For  $r = 1$  the equality holds.

### 3.3 The frame algorithm

Formula (8) in Theorem 2 is useless from a practical point of view. The involved frame  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$ , which depends on the error sequence  $\{\varepsilon_{j,n}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$ , is impossible to determine. As a consequence, in order to recover any function  $f \in V_\varphi$  from the samples  $\{\mathcal{L}_j f(rn + \varepsilon_{j,n})\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  we should use the frame algorithm (see [5]). We are going to implement this algorithm in the  $\ell^2(\mathbb{Z})$  setting. To this end, consider the canonical isometry

$$\mathcal{U} : \ell^2(\mathbb{Z}) \rightarrow L^2(0, 1), \quad \mathcal{U} \{a_l\}_{l \in \mathbb{Z}} := \sum_{l \in \mathbb{Z}} a_l e^{-2\pi i l w}.$$

For  $f(t) = \sum_{l \in \mathbb{Z}} a_l \varphi(t-l) \in V_\varphi$ , denote by  $\mathbb{F}$  the sequence

$$\mathbb{F} := \mathcal{U}^{-1} F = \mathcal{U}^{-1} \mathcal{T}^{-1} f = \{a_l\}_{l \in \mathbb{Z}}.$$

The samples  $\{(\mathcal{L}_j f)(rn + \varepsilon_{j,n})\}$  can be written as

$$(\mathcal{L}_j f)(rn + \varepsilon_{j,n}) = \langle F(\cdot), \overline{(\mathcal{Z} \mathcal{L}_j \varphi)}(\varepsilon_{j,n}, \cdot) e^{-2\pi i r n \cdot} \rangle_{L^2(0,1)} = \langle \mathbb{F}, \mathbb{L}_{j,n} \rangle_{\ell^2(\mathbb{Z})}$$

where, for  $j = 1, 2, \dots, s$  and  $n \in \mathbb{Z}$ ,

$$\mathbb{L}_{j,n} := \mathcal{U}^{-1}(\overline{(\mathcal{Z} \mathcal{L}_j \varphi)}(\varepsilon_{j,n}, \cdot) e^{-2\pi i r n \cdot}) = \{(\mathcal{L}_j \varphi)(rn - l + \varepsilon_{j,n})\}_{l \in \mathbb{Z}}.$$

The sequence  $\{\mathbb{L}_{j,n}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $\ell^2(\mathbb{Z})$ . Indeed, assume that  $\{\varepsilon_{j,n}\}_{n \in \mathbb{Z}} \subset [\alpha_j, \beta_j] \subset [-r, r]$  for each  $j = 1, 2, \dots, s$ , and that  $\sum_{j=1}^s \Lambda_j \Gamma_j < \alpha_{\mathbf{G}}/r$ . According to the proof of Theorem 2 and Lemma 3, the sequence  $\{\overline{(\mathcal{Z} \mathcal{L}_j \varphi)}(\varepsilon_{j,n}, \cdot) e^{-2\pi i r n \cdot}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $L^2(0,1)$  with bounds

$$A := \frac{\alpha_{\mathbf{G}}}{r} \left( 1 - \sqrt{\frac{r}{\alpha_{\mathbf{G}}} \sum_{j=1}^s \Lambda_j \Gamma_j} \right)^2, \quad B := \frac{\beta_{\mathbf{G}}}{r} \left( 1 + \sqrt{\frac{r}{\beta_{\mathbf{G}}} \sum_{j=1}^s \Lambda_j \Gamma_j} \right)^2 \quad (11)$$

Since  $\mathcal{U}^{-1}$  is an isometry, the sequence  $\{\mathbb{L}_{j,n}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$  is a frame for  $\ell^2(\mathbb{Z})$  with the same bounds.

Hence, the recovering of the function  $f = \mathcal{T} \mathcal{U} \mathbb{F} \in V_\varphi$  from the samples  $\{(\mathcal{L}_j f)(rn + \varepsilon_{j,n})\}$  is reduced to recover the sequence  $\mathbb{F}$  from the sequence  $\{\langle \mathbb{F}, \mathbb{L}_{j,n} \rangle_{\ell^2(\mathbb{Z})}\}_{n \in \mathbb{Z}, j=1,2,\dots,s}$ . In so doing, the classical frame algorithm reads:

Consider

$$\mathbb{F}_0 = \mathcal{A} \mathbb{F} := \frac{2}{A+B} \sum_{n \in \mathbb{Z}} \sum_{j=1}^s \langle \mathbb{F}, \mathbb{L}_{j,n} \rangle_{\ell^2(\mathbb{Z})} \mathbb{L}_{j,n} = \frac{2}{A+B} \sum_{n \in \mathbb{Z}} \sum_{j=1}^s (\mathcal{L}_j f)(rn + \varepsilon_{j,n}) \mathbb{L}_{j,n}$$

and define recursively  $\mathbb{F}_{k+1} = \mathbb{F}_k + \mathcal{A}(\mathbb{F} - \mathbb{F}_k)$ ,  $k \in \mathbb{N}$ . Then, the sequence  $\{f_k\}_{k \in \mathbb{N}}$  in  $V_\varphi$  given by  $f_k(t) = \sum_{l \in \mathbb{Z}} a_l^{(k)} \varphi(t-l)$  where  $\mathbb{F}_k = \{a_l^{(k)}\}_{l \in \mathbb{Z}}$ , satisfies

$$\begin{aligned} \|f - f_k\|_{L^2(\mathbb{R})} &\leq \|\mathcal{T}\| \|\mathbb{F} - \mathbb{F}_k\|_{\ell^2(\mathbb{Z})} \leq \|\mathcal{T}\| \gamma^{k+1} \|\mathbb{F}\|_{\ell^2(\mathbb{Z})} \\ &\leq \|\mathcal{T}\| \|\mathcal{T}^{-1}\| \gamma^{k+1} \|f\|_{L^2(\mathbb{R})} = \sqrt{\frac{\|\Phi\|_\infty}{\|\Phi\|_0}} \gamma^{k+1} \|f\|_{L^2(\mathbb{R})}, \end{aligned}$$

where  $\gamma := (B-A)/(B+A)$ , and we have used that  $\|\mathcal{T}^{-1}\|^{-2} = \|\Phi\|_0$  and  $\|\mathcal{T}\|^2 = \|\Phi\|_\infty$  [3, Prop. 3.6.8]. It is worth to mention that, in some important examples, the value of  $\sum_{j=1}^s \Lambda_j \Gamma_j$  in (11) can be explicitly computed in terms of  $\delta := \sup_{j,n} |\varepsilon_{j,n}|$ .

In order to improve this algorithm, specially when the ratio  $B/A$  is large, we can use the methods of acceleration of the frame algorithm proposed by Gröchenig in [8].

### 3.4 Examples in Spline spaces

For each fixed  $m \in \mathbb{N}$ , the B-spline  $N_m$  is defined as  $N_m := N_1 * N_1 * \dots * N_1$  ( $m$  times) where  $N_1$  denotes the characteristic function of the interval  $(0, 1)$ . It is known [4] that  $\{N_m(\cdot - k)\}_{k \in \mathbb{Z}}$  is a Riesz sequence in  $L^2(\mathbb{R})$ . The corresponding shift-invariant space  $V_{N_m}$  is the space of splines of degree  $m - 1$  in  $L^2(\mathbb{R})$  with nodes at the integers. For these shift-invariant spaces it is not difficult to obtain the sum  $\sum_{j=1}^s \Lambda_j \Gamma_j$  in terms of  $\delta := \sup_{j,n} |\varepsilon_{j,n}|$ . Thus, the largest  $\delta$  satisfying the condition  $\sum_{j=1}^s \Lambda_j \Gamma_j < \alpha_{\mathbf{G}}/r$  can be explicitly computed. Notice that, having in mind (11), the rate of convergence  $\gamma$  can also be computed in terms of  $\delta := \sup_{j,n} |\varepsilon_{j,n}|$ . We present here some examples:

#### 3.4.1 Recovering linear, quadratic and cubic Splines from irregular samples

For  $r = s = 1$  and  $(\mathcal{L}_1 f)(t) := f(t + a)$ , Theorem 2 gives the irregular sampling formula

$$f(t) = \sum_{n \in \mathbb{Z}} f(n + a + \varepsilon_n) S_n^\varepsilon(t) \quad t \in \mathbb{R}, \quad (12)$$

where  $\{S_n^\varepsilon\}_{n \in \mathbb{Z}}$  denotes the Riesz basis associated with the perturbation  $\{\varepsilon_n\}_{n \in \mathbb{Z}}$ . A challenge problem is to measure how large should be  $\delta := \sup_n |\varepsilon_n|$  in order to have a sampling formula like (12) in the shift-invariant space spanned by the B-spline  $N_m$ .

• **Linear Splines:** Consider the linear B-spline  $N_2(t) := t\mathcal{X}_{[0,1)} + (2 - t)\mathcal{X}_{[1,2)}$ . For  $d \in [0, 1]$  we have

$$\sum_{k \in \mathbb{Z}} |N_2(k + d) - N_2(k)| = |N_2(d)| + |N_2(1 + d) - N_2(1)| = d + d = 2d$$

By symmetry also we have  $\sum_{k \in \mathbb{Z}} |N_2(k - d) - N_2(k)| = 2d$ . Thus, for  $a = 0$  and  $[\alpha_1, \beta_1] = [-\delta, \delta]$ , where  $\delta \leq 1$ , we obtain  $\Gamma_1 = 2\delta$ . Besides,

$$\Lambda_1 = \sup_{d \in [-\delta, \delta]} |N_2(d)| + \sup_{d \in [-\delta, \delta]} |N_2(1 + d) - N_2(1)| + \sup_{d \in [-\delta, \delta]} |N_2(2 + d)| = 3\delta$$

Hence, for any sequence  $\{\varepsilon_n\}_{n \in \mathbb{Z}} \subset [-\delta, \delta]$ ,  $\delta \leq 1$ , we have that  $\|D_\varepsilon\|^2 \leq \Lambda_1 \Gamma_1 = 6\delta^2$ . Since

$$\alpha_{\mathbf{G}} = \inf_{w \in (0,1)} |(ZN_1)(0, w)|^2 = \inf_{w \in (0,1)} |e^{-2\pi i w}|^2 = 1,$$

whenever

$$\sup_{n \in \mathbb{Z}} |\varepsilon_n| < C := \frac{1}{\sqrt{6}} \approx 0.408,$$

a sampling formula like (12) with  $a = 0$  holds. This bound improves the value  $1/3$  obtained by Chen et al. in [2]. Assuming that  $\varepsilon_n \geq 0$  (or  $\varepsilon_n \leq 0$ ) for all  $n \in \mathbb{Z}$  the bound  $1/\sqrt{6}$  can be improved up to  $1/2$  since  $\Lambda_1 = 2\delta$  in this case. This result appeared first time in [12].

Since  $\beta_{\mathbf{G}} = 1$  we obtain the convergence rate  $\gamma = 2\sqrt{6} \delta / (1 + 6\delta^2)$  where  $\delta := \sup_{n \in \mathbb{Z}} |\varepsilon_n|$ .

• **Cubic Splines:** Consider the cubic B-spline

$$N_4(t) := \frac{t^3}{6} \mathcal{X}_{[0,1)}(t) + \left(\frac{2}{3} - 2t + 2t^2 - \frac{t^3}{2}\right) \mathcal{X}_{[1,2)}(t) \\ + \left(-\frac{22}{3} + 10t - 4t^2 + \frac{t^3}{2}\right) \mathcal{X}_{[2,3)}(t) + \left(\frac{32}{3} - 8t + 2t^2 - \frac{t^3}{6}\right) \mathcal{X}_{[3,4)}(t)$$

Fix  $a = 0$ . For  $d \in [0, 1)$  the symmetry of  $N_4$  gives

$$\sum_{k=0}^4 |N_4(k-d) - N_4(k)| = \sum_{k=0}^4 |N_4(k+d) - N_4(k)| = d + d^2 - \frac{2d^3}{3}$$

Hence, for  $[\alpha_1, \beta_1] = [-\delta, \delta]$ , where  $\delta < 1$ , one gets  $\Gamma_1 = \delta + \delta^2 - 2\delta^3/3$ . Having in mind the symmetry and monotony of  $N_4$  and that  $N_4(1+\delta) - N_4(1) > N_4(1) - N_4(1-\delta)$ , we obtain

$$\Lambda_1 = 2N_4(\delta) + 2[N_4(1+\delta) - N_4(1)] + N_4(2) - N_4(2+\delta) = \delta + 2\delta^2 - \frac{7\delta^3}{6}$$

Thus, for any  $\{\varepsilon_n\}_{n \in \mathbb{Z}} \subset [-\delta, \delta]$  we have

$$\|D_\varepsilon\|^2 \leq \Lambda_1 \Gamma_1 = \frac{7\delta^6}{9} - \frac{5\delta^5}{2} + \frac{\delta^4}{6} + 3\delta^3 + \delta^2$$

Since

$$\alpha_{\mathbf{G}} = \inf_{w \in (0,1)} |(ZN_4)(0, \cdot)|^2 = \inf_{w \in (0,1)} \left| \frac{1}{6} e^{-2\pi i w} + \frac{2}{3} e^{-4\pi i w} + \frac{1}{6} e^{-6\pi i w} \right|^2 = \frac{1}{9},$$

for any sequence  $\{\varepsilon_n\}_{n \in \mathbb{Z}} \subset [-\delta, \delta]$  such that  $\sup_{n \in \mathbb{Z}} |\varepsilon_n| < C \approx 0.253$ , where  $C$  is the root of the polynomial  $7\delta^6/9 - 5\delta^5/2 + \delta^4/6 + 3\delta^3 + \delta^2 - 1/9$  in  $(0, 1/2)$ , we can recover any cubic spline in  $L^2(\mathbb{R})$  from its samples at  $\{n + \varepsilon_n\}_{n \in \mathbb{Z}}$  by means of a sampling expansion like (12).

• **Cuadratic Splines:** Here the generator is the cuadratic B-spline

$$N_3(t) := \frac{t^2}{2} \mathcal{X}_{[0,1)}(t) + \left(3t - t^2 - \frac{3}{2}\right) \mathcal{X}_{[1,2)}(t) + \frac{(3-t)^2}{2} \mathcal{X}_{[2,3)}(t)$$

For  $a = 0$  we have that

$$\alpha_{\mathbf{G}} := \inf_{w \in (0,1)} |(ZN_3)(0, \cdot)|^2 = \frac{1}{4} \inf_{w \in (0,1)} |e^{-2\pi i w} + e^{-4\pi i w}|^2 = 0,$$

and, consequently, we cannot consider  $a = 0$ . However, for  $a = 1/2$

$$\alpha_{\mathbf{G}} = \inf_{w \in (0,1)} |(ZN_3)(1/2, \cdot)|^2 = \inf_{w \in (0,1)} \left| \frac{1}{8} + \frac{3}{4} e^{-2\pi i w} + \frac{1}{8} e^{-4\pi i w} \right|^2 = \frac{1}{4}$$

For  $d \in [0, 1/2)$  we have

$$\sum_{k=0}^2 |N_3(k + 1/2 + d) - N_3(k)| = \sum_{k=0}^2 |N_3(k + 1/2 - d) - N_3(k)| = d + d^2$$

Then, for  $[\alpha_1, \beta_1] = [-\delta, \delta]$ , where  $\delta < 1/2$ , we obtain that  $\Gamma_1 = \delta + \delta^2$ . Having in mind the symmetry and monotony of  $N_3$  and that  $N_3(1/2 + \delta) - N_3(1/2) > N_3(1/2) - N_3(1/2 - \delta)$  we get

$$\Lambda_1 = 2[N_3(1/2 + \delta) - N_3(1/2)] + N_4(3/2) - N_4(3/2 + \delta) = \delta + 2\delta^2$$

Thus, for any sequence  $\{\varepsilon_n\}_{n \in \mathbb{Z}} \subset [-\delta, \delta]$ , where  $\delta < 1/2$ , we have that

$$\|D_\varepsilon\|^2 \leq \Lambda_1 \Gamma_1 = \delta^2 + 3\delta^3 + 2\delta^4$$

As a consequence, for any sequence  $\{\varepsilon_n\}_{n \in \mathbb{Z}}$  satisfying  $\sup_{n \in \mathbb{Z}} |\varepsilon_n| < C \approx 0.334$ , where  $C$  is the root of  $\delta^2 + 3\delta^3 + 2\delta^4 - 1/4$  in  $(0, 1/2)$ , a sampling formula like (12) with  $a = 1/2$  holds. This bound improves the value  $1/4$  obtained by Chen et al. in [2].

### 3.4.2 Recovering cubic Splines from the derivative sampling

For  $r = s = 2$ , consider the systems  $(\mathcal{L}_1 f)(t) := f(t + a)$  and  $(\mathcal{L}_2 f)(t) := f'(t + a)$ . For  $a = 0$  we have

$$\mathbf{G}(w) = \begin{pmatrix} ZN_4(0, w) & ZN_4(0, w + 1/2) \\ ZN_4'(0, w) & ZN_4'(0, w + 1/2) \end{pmatrix} = \frac{1}{6} \begin{pmatrix} z + 4z + z^3 & -z + 4z^2 - z^3 \\ 3z - 3z^3 & -3z + 3z^3 \end{pmatrix}$$

where  $z = e^{-2\pi i w}$ . Since  $\det \mathbf{G} = 2(z^5 - z^3)/3$  vanishes at  $w = 0$ , it follows that  $\alpha_{\mathbf{G}} = 0$ . Hence, Theorem 2 does not apply. However, taking  $a = 1/2$  we obtain

$$\begin{aligned} g_1(w) &= (ZN_4)(1/2, w) = \frac{1}{48} + \frac{23}{48}e^{-2\pi i w} + \frac{23}{48}e^{-4\pi i w} + \frac{1}{48}e^{-6\pi i w} \\ g_2(w) &= (ZN_4')(1/2, w) = \frac{1}{8} + \frac{5}{8}e^{-2\pi i w} - \frac{5}{8}e^{-4\pi i w} - \frac{1}{8}e^{-6\pi i w} \end{aligned}$$

The eigenvalues of the matrix  $\mathbf{G}^*(w)\mathbf{G}(w)$  are

$$1 + \frac{157}{288} \sin^2 2\pi w \pm \frac{7}{288} \sqrt{576 \sin^2 2\pi w + 265 \sin^4 2\pi w}$$

The minimum on  $(0, 1/2)$  of the smallest eigenvalue is attained at  $w = \frac{1}{2\pi} \arctan \sqrt{\frac{392}{403}}$  and takes the value  $\alpha_{\mathbf{G}} = \frac{216}{265}$ . Besides, the maximum on  $(0, 1/2)$  of the largest eigenvalue is  $\beta_{\mathbf{G}} = 9/4$  attained at  $w = 1/4$ .

For  $d \in [0, 1/2]$ , we have

$$\sum_{k=0}^3 |N_4(k + 1/2 - d) - N_4(k)| = \sum_{k=0}^3 |N_4(k + 1/2 + d) - N_4(k)| = \frac{3}{2}d - \frac{2}{3}d^3$$

For  $d \in (0, 1/3)$  the inequality  $N_4'(5/2) > N_4'(5/2 + d)$  holds. Thus, for  $d \in [0, 1/3)$  we get

$$\sum_{k=0}^3 |N_4'(k + 1/2 - d) - N_4'(k)| = \sum_{k=0}^3 |N_4'(k + 1/2 + d) - N_4'(k)| = 2d$$

Therefore, whenever  $[\alpha_1, \beta_1] = [\alpha_2, \beta_2] = [-\delta, \delta]$ , with  $0 < \delta < 1/3$ , we obtain that  $\Gamma_1 = (3/2)\delta - (2/3)\delta^3$  and  $\Gamma_2 = 2\delta$ .

Now, having in mind the symmetry of  $N_4$  and the inequalities  $N_4(1/2+\delta) - N_4(1/2) > N_4(1/2) - N_4(1/2 - \delta)$  and  $N_4(5/2) - N_4(5/2 + d) > N_4(5/2 - d) - N_4(5/2)$ , we get

$$\begin{aligned} & \sup_{d \in [-\delta, \delta]} |N_4(3/2 + \delta) - N_4(3/2)| + \sup_{d \in [-\delta, \delta]} |N_4(7/2 + \delta) - N_4(7/2)| \\ &= \sup_{d \in [-\delta, \delta]} |N_4(1/2 + \delta) - N_4(1/2)| + \sup_{d \in [-\delta, \delta]} |N_4(5/2 + \delta) - N_4(5/2)| \\ &= \frac{3\delta}{4} + \frac{\delta^2}{2} - \frac{\delta^3}{3} \end{aligned}$$

Analogously, using the symmetry of  $N'_4$ , the inequality  $N'_4(1/2+\delta) - N'_4(1/2) > N'_4(1/2) - N'_4(1/2 - \delta)$ , and that  $\sup_{d \in [-\delta, \delta]} |N'_4(5/2 + d) - N_4(5/2)| = N'_4(5/2 - \delta) - N_4(5/2)$ , we get

$$\begin{aligned} & \sup_{d \in [-\delta, \delta]} |N'_4(3/2 + \delta) - N'_4(3/2)| + \sup_{d \in [-\delta, \delta]} |N'_4(7/2 + \delta) - N'_4(7/2)| \\ &= \sup_{d \in [-\delta, \delta]} |N'_4(1/2 + \delta) - N'_4(1/2)| + \sup_{d \in [-\delta, \delta]} |N'_4(5/2 + \delta) - N'_4(5/2)| \\ &= \delta + 2\delta^2 \end{aligned}$$

Hence,  $\Lambda_1 = (3/4)\delta + (1/2)\delta^2 - (1/3)\delta^3$  and  $\Lambda_2 = \delta + 2\delta^2$ . Thus, for any sequence  $\varepsilon = \{\varepsilon_{j,n}\}_{n \in \mathbb{Z}, j=1,2} \subset [\delta, \delta]$ , where  $\delta < 1/3$ , we have that

$$\|D_\varepsilon\|^2 \leq \Lambda_1 \Gamma_1 + \Lambda_2 \Gamma_2 = \frac{25\delta^2}{8} + \frac{19\delta^3}{4} - \delta^4 - \frac{\delta^5}{3} + \frac{2\delta^6}{9}$$

From Theorem 2, whenever  $\sup_{j,n} |\varepsilon_{j,n}| < C \approx 0.3022$ , where  $C$  is the root of  $25\delta^2/8 + 19\delta^3/4 - \delta^4 - \delta^5/3 + 2\delta^6/9 - 108/265 = 0$  in  $(0, 1/3)$ , there exists a Riesz basis  $\{S_{j,n}^\varepsilon\}_{n \in \mathbb{Z}, j=1,2}$  for  $V_{N_4}$  such that the expansion

$$f(t) = \sum_{n \in \mathbb{Z}} [f(2n + 1/2 + \varepsilon_{1,n}) S_{1,n}^\varepsilon(t) + f'(2n + 1/2 + \varepsilon_{2,n}) S_{2,n}^\varepsilon(t)], \quad t \in \mathbb{R},$$

holds.

### 3.4.3 Recovering cubic Splines from average sampling

For each  $f \in V_{N_4}$  consider the system defined as  $(\mathcal{L}f)(t) := \int_{t-1/2}^{t+1/2} f(x)dx$ . For  $d \in [0, 1/2]$  we have

$$\begin{aligned} & \sum_{k \in \mathbb{Z}} |(\mathcal{L}_1 N_4)(k-d) - (\mathcal{L}_1 N_4)(k)| = \sum_{k \in \mathbb{Z}} |(\mathcal{L}_1 N_4)(k+d) - (\mathcal{L}_1 N_4)(k)| \\ &= \frac{23d}{24} + \frac{5d^2}{8} - \frac{d^3}{6} - \frac{d^4}{4} \end{aligned}$$

where we have used the symmetry of  $\mathcal{L}N_4$  with respect the line  $t = 2$ . Thus, for  $[\alpha_1, \beta_1] = [-\delta, \delta]$ , where  $\delta \leq 1/2$ , we obtain  $\Gamma_1 = 23\delta/24 + 5\delta^2/8 - \delta^3/6 - \delta^4/4$ . Besides,

$$\begin{aligned} \Lambda_1 &= 2 \sup_{d \in [-\delta, \delta]} |(\mathcal{L}_1 N_4)(d) - (\mathcal{L}_1 N_4)(0)| + 2 \sup_{d \in [-\delta, \delta]} |(\mathcal{L}_1 N_4)(1+d) - (\mathcal{L}_1 N_4)(1)| \\ &+ \sup_{d \in [-\delta, \delta]} |(\mathcal{L}_1 N_4)(2) - (\mathcal{L}_1 N_4)(2+d)| = \frac{5\delta^2}{4} - \frac{\delta^3}{6} - \frac{\delta^4}{2} + \frac{23\delta}{24} \end{aligned}$$



Hence, for any sequence  $\{\varepsilon_n\}_{n \in \mathbb{Z}} \subset [-\delta, \delta]$ ,  $\delta \leq 1/2$ , we have that

$$\|D_\varepsilon\|^2 \leq \Lambda_1 \Gamma_1 = \frac{529\delta^2}{576} + \frac{115\delta^3}{64} + \frac{133\delta^4}{288} - \frac{33\delta^5}{32} - \frac{43\delta^6}{72} + \frac{\delta^7}{8} + \frac{\delta^8}{8}$$

Moreover

$$\alpha_{\mathbf{G}} = \inf_{w \in (0,1)} |g_1(w)|^2 = \inf_{w \in (0,1)} \left| \frac{1 + 76e^{-2\pi iw} + 230e^{-4\pi iw} + 76e^{-6\pi iw} + e^{-8\pi iw}}{384} \right|^2 = \frac{25}{576}$$

From Theorem 2, whenever  $\sup_n |\varepsilon_n| < C \approx 0.185$ , where  $C$  is the root of  $529\delta^2/576 + 115\delta^3/64 + 133\delta^4/288 - 33\delta^5/32 - 43\delta^6/72 + \delta^7/8 + \delta^8/8 - 25/576 = 0$  in  $(0, 1/2)$ , there exists a Riesz basis  $\{S_n^\varepsilon\}_{n \in \mathbb{Z}}$  for  $V_{N_4}$  such that the expansion

$$f(t) = \sum_{n \in \mathbb{Z}} (\mathcal{L}f)(n + \varepsilon_n) S_n^\varepsilon(t), \quad t \in \mathbb{R},$$

holds for each  $f \in V_{N_4}$ .

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