

# ALIASING ERROR OF SAMPLING SERIES IN WAVELET SUBSPACES

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ABSTRACT. Alising error arises whenever a sampling formula, valid for a prescribed space, is applied to a function in a bigger space. In this work we estimate the aliasing error of classical and average sampling expansions in wavelet subspaces of a multiresolution analysis.

KEY WORDS : SAMPLING, WAVELET SUBSPACE, ALIASING ERROR

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## 1. INTRODUCTION

The Shannon sampling theorem states that any function  $f$  in the classical Paley-Wiener space  $PW_\pi := \{f \in L^2(\mathbb{R}) \cap C(\mathbb{R}) : \text{supp} \hat{f} \subseteq [-\pi, \pi]\}$ , where  $\hat{f}$  stands for the Fourier transform of  $f$ , may be reconstructed from its samples  $\{f(n)\}_{n \in \mathbb{Z}}$  as

$$f(t) = \sum_{n \in \mathbb{Z}} f(n) \text{sinc}(t - n), \quad t \in \mathbb{R},$$

where  $\text{sinc}(t) = \sin \pi t / \pi t$  denotes the cardinal sinc function. The space  $PW_\pi$  can be seen as the subspace  $V_0$  of the Shannon multiresolution analysis, whose scaling function is precisely  $\phi(t) = \text{sinc}(t)$ . Although Shannon's sampling theory has had an enormous impact, it has a number of drawbacks, as pointed out by Unser in [11]: It relies on the use of ideal filters; the band-limited hypothesis is in contradiction with the idea of finite duration signal; the bandlimiting operation generates Gibbs oscillations, and finally, the sinc function has a very slow decay, which makes computation in the signal domain very inefficient. Moreover, many applied problems impose different a priori constraints on the type of functions. For these reasons, the sampling and reconstruction problems have been investigated in spline spaces, wavelet spaces, and general shift-invariant spaces.

In [12], Walter extended, under appropriate hypotheses, the Shannon sampling theorem to the subspace  $V_0$  of a general multiresolution analysis  $\{V_n\}_{n \in \mathbb{Z}}$  in  $L^2(\mathbb{R})$ : For any  $f \in V_0$  the sampling formula

$$f(t) = \sum_{n \in \mathbb{Z}} f(n) S(t - n), \quad t \in \mathbb{R}$$

holds, where  $\hat{S}(\xi) := \hat{\phi}(\xi) / (\sum_{n \in \mathbb{Z}} \phi(n) e^{-in\xi})$  and  $\phi$  denotes the scaling function. Later on, Unser and Aldroubi introduced in [10], under suitable conditions, the average sampling formula

$$f(t) = \sum_{n \in \mathbb{Z}} (\mathcal{L}f)(n) S_{\mathcal{L}}(t - n), \quad t \in \mathbb{R},$$

which uses the average samples  $\{(\mathcal{L}f)(n)\}_{n \in \mathbb{Z}}$  obtained from  $f \in V_0$  by means of a linear time-invariant system  $\mathcal{L}f := f * h$  defined on  $V_0$ . Notice that, in practice, the measurements of a function  $f$  in  $V_0$  are taken not from the function itself but from some filtered version  $\mathcal{L}f$ .

Whenever these sampling formulas are applied to a function  $f$  which does not belong to  $V_0$ , the so-called aliasing error arises:

$$E^A f(t) := f(t) - \sum_{n \in \mathbb{Z}} f(n)S(t-n) \quad \text{or} \quad E_{\mathcal{L}}^A f(t) := f(t) - \sum_{n \in \mathbb{Z}} (\mathcal{L}f)(n)S_{\mathcal{L}}(t-n), \quad t \in \mathbb{R}.$$

Concerning this error in Shannon's setting, a classic result by Brown [1] states that if  $f \in L^2(\mathbb{R}) \cap C(\mathbb{R})$  and  $\widehat{f} \in L^1(\mathbb{R})$ , then

$$(1.1) \quad \left| f(t) - \sum_{n \in \mathbb{Z}} f(n)\text{sinc}(t-n) \right| \leq \frac{2}{\sqrt{2\pi}} \int_{|\xi| > \pi} |\widehat{f}(\xi)| d\xi, \quad t \in \mathbb{R}.$$

In addition, the function  $f(t) = \text{sinc}(2t-1)$  is an extremal solution for (1.1), i.e., there exists a value of  $t$  for which (1.1) becomes an equality. Notice that if  $f \in V_1 = PW_{2\pi}$ , then (1.1) can be written as

$$|E^A f(t)| \leq \frac{2}{\sqrt{2\pi}} \|P_{W_0} f\|_{L^1(\mathbb{R})},$$

where  $P_{W_0}$  denotes the orthogonal projection onto  $W_0$ , the orthogonal complement of  $V_0$  in  $V_1$ . The aliasing error in Shannon's setting has been largely studied: see [7] and references therein. Besides, Walter [12] has proved a similar result for functions in the subspace  $V_1$  of a general multiresolution analysis. Specifically, for any  $f \in V_1$ , there exists a constant  $C$  such that

$$(1.2) \quad |E^A f(t)| \leq C \|P_{W_0} f\|_{L^2(\mathbb{R})}, \quad t \in \mathbb{R}.$$

Notice that  $\|P_{W_0} f\|_{L^2(\mathbb{R})}$  can be expressed in terms of the wavelet coefficients of the function  $f$ .

On the other hand, Janssen generalized in [9] Walter's sampling formula by using shifted samples  $\{f(n+\sigma)\}_{n \in \mathbb{Z}}$ , where  $\sigma \in [0, 1)$ . As to the corresponding aliasing error  $E^A f$ , he proved the inequalities

$$K_0 \|P_{W_0} f\|_{L^2(\mathbb{R})} \leq \|E^A f\|_{L^2(\mathbb{R})} \leq K_\infty \|P_{W_0} f\|_{L^2(\mathbb{R})}, \quad f \in V_1.$$

In addition, he found the smallest possible value for the constant  $K_0$  and the largest possible value for  $K_\infty$ . Later on, in [5] the authors dealt with the aliasing error function  $E^A f$  for  $f \in V_1$ . In so doing, they calculate its Fourier transform,  $\widehat{E^A f}$ , in terms of the Fourier transform of  $P_{W_0} f$ . Besides recovering Janssen's inequalities, this technique also allows to derive a precise bound like (1.2), exhibiting the extremal solutions in some cases. Some results concerning the aliasing error for functions  $f \in V_2$  are also provided. See also references [10] and [13] for the general wavelet setting.

In the present paper we study the aliasing error arising when the classical sampling formula is applied to a function  $f$  in the wavelet subspace  $V_n$ ,  $n \geq 1$ , of a multiresolution analysis. Estimations both in  $L^2$  and  $L^\infty$  norms are provided. The aliasing error arising when we apply the average sampling formula for  $V_0$  to a function  $f \in V_1$  is also included. In particular, this paper improves the results in [5] in different directions: Apart from to work with a non necessarily orthonormal scaling function  $\phi$ , some of the results in [5] are derived under weaker hypotheses.

The paper is organized as follows: In Section 2 the needed preliminaries are included; in particular, a variance of the Poisson summation formula used with profusion in the sequel. Section 3 is devoted to study the aliasing error in classical sampling for wavelet subspaces in a multiresolution analysis. Finally, in Section 4 the aliasing error in average sampling is carried out.

## 2. PRELIMINARIES

On  $L^1(\mathbb{R}) \cap L^2(\mathbb{R})$ , we take the Fourier transform to be normalized as

$$\mathcal{F}[\phi](\xi) = \hat{\phi}(\xi) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(t) e^{-it\xi} dt$$

so that  $\mathcal{F}[\cdot]$  becomes a unitary operator from  $L^2(\mathbb{R})$  onto  $L^2(\mathbb{R})$ . Let

$$Z_\phi(t, \xi) := \sum_{n \in \mathbb{Z}} \phi(t+n) e^{-in\xi}$$

be the Zak transform of  $\phi(t)$  in  $L^2(\mathbb{R})$  (cf. [9]). We first introduce a variance of the Poisson summation formula.

**Lemma 2.1.** *Let  $\phi \in L^2(\mathbb{R})$  be such that  $\hat{\phi} \in L^2(\mathbb{R}) \cap L^1(\mathbb{R})$ . Then, for any  $t \in \mathbb{R}$ , the series  $\sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)}$  converges absolutely in  $L^1[0, 2\pi]$  and*

$$(2.1) \quad \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)} \sim \frac{1}{\sqrt{2\pi}} Z_\phi(t, \xi)$$

which means that  $\frac{1}{\sqrt{2\pi}} Z_\phi(t, \xi)$  is the Fourier series expansion of  $\sum_{n \in \mathbb{Z}} e^{it(\xi+2n\pi)} \hat{\phi}(\xi + 2n\pi)$ . If moreover for any fixed  $t$  in  $\mathbb{R}$ ,  $\sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)}$  converges in  $L^2[0, 2\pi]$  or equivalently  $\{\phi(t+n)\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$ , then

$$(2.2) \quad \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)} = \frac{1}{\sqrt{2\pi}} Z_\phi(t, \xi) \text{ in } L^2[0, 2\pi].$$

*Proof.* Since  $\hat{\phi} \in L^1(\mathbb{R})$  and

$$\sum_{n \in \mathbb{Z}} \|\hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)}\|_{L^1[0, 2\pi]} = \sum_{n \in \mathbb{Z}} \int_0^{2\pi} |\hat{\phi}(\xi + 2n\pi)| d\xi = \int_{-\infty}^{+\infty} |\hat{\phi}(\xi)| d\xi,$$

the series  $\sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)}$  converges absolutely in  $L^1[0, 2\pi]$ . Hence

$$\sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)} \sim \frac{1}{2\pi} \sum_{k \in \mathbb{Z}} \langle \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)}, e^{-ik\xi} \rangle_{L^2[0, 2\pi]} e^{-ik\xi},$$

where

$$\begin{aligned} & \langle \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)}, e^{-ik\xi} \rangle_{L^2[0, 2\pi]} = \int_0^{2\pi} \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi+2n\pi)} e^{-ik\xi} d\xi \\ & = \sum_{n \in \mathbb{Z}} \int_0^{2\pi} \hat{\phi}(\xi + 2n\pi) e^{i((t+k)\xi+2n\pi)} d\xi = \int_{-\infty}^{+\infty} \hat{\phi}(\xi) e^{i(t+k)\xi} d\xi = \sqrt{2\pi} \phi(t+k). \end{aligned}$$

Hence (2.1) holds from which the second claim follows immediately.  $\square$

Lemma 2.1 generalizes Lemma 1 in [5] and a claim in the Appendix in [2]. For any  $\phi \in L^2(\mathbb{R})$ , let

$$\Phi(t) := \sum_{n \in \mathbb{Z}} |\phi(t - n)|^2 \text{ and } G_\phi(\xi) := \sum_{n \in \mathbb{Z}} |\hat{\phi}(\xi + 2n\pi)|^2.$$

Then  $\Phi(t) = \Phi(t + 1) \in L^1[0, 1]$ ,  $G_\phi(\xi) = G_\phi(\xi + 2\pi) \in L^1[0, 2\pi]$  and  $\|\phi(t)\|_{L^2(\mathbb{R})}^2 = \|\Phi(t)\|_{L^1[0,1]} = \|G_\phi(\xi)\|_{L^1[0,2\pi]}$ . For any  $\mathbf{c} = \{c(n)\}_{n \in \mathbb{Z}}$  in  $\ell^2(\mathbb{Z})$ , let

$$\hat{\mathbf{c}}^*(\xi) := \sum_{n \in \mathbb{Z}} c(n)e^{-in\xi}$$

be the discrete Fourier transform of  $\mathbf{c}$ . Then  $\hat{\mathbf{c}}^* \in L^2[0, 2\pi]$  and  $\int_0^{2\pi} |\hat{\mathbf{c}}^*(\xi)|^2 d\xi = 2\pi \|\mathbf{c}\|^2$  where  $\|\mathbf{c}\|^2 := \sum_{n \in \mathbb{Z}} |c(n)|^2$ . For any  $\mathbf{c} = \{c(n)\}_{n \in \mathbb{Z}}$  and  $\mathbf{d} = \{d(n)\}_{n \in \mathbb{Z}}$  in  $\ell^2(\mathbb{Z})$ , the discrete convolution product of  $\mathbf{c}$  and  $\mathbf{d}$  is defined by

$$\mathbf{c} * \mathbf{d} = \left\{ (\mathbf{c} * \mathbf{d})(n) := \sum_{k \in \mathbb{Z}} c(k)d(n - k) \right\}_{n \in \mathbb{Z}}.$$

Then  $\hat{\mathbf{c}}^* \hat{\mathbf{d}}^*$  belongs to  $L^1[0, 2\pi]$  and its Fourier series is  $\sum_{n \in \mathbb{Z}} (\mathbf{c} * \mathbf{d})(n)e^{-in\xi}$  so that  $\mathbf{c} * \mathbf{d} \in \ell^\infty(\mathbb{Z})$  and

$$(2.3) \quad \int_0^{2\pi} |\hat{\mathbf{c}}^*(\xi)\hat{\mathbf{d}}^*(\xi)|^2 d\xi = 2\pi \|\mathbf{c} * \mathbf{d}\|^2.$$

**Lemma 2.2.** *If  $\phi \in L^2(\mathbb{R})$  is such that  $H_\phi(\xi) := \sum_{n \in \mathbb{Z}} |\hat{\phi}(\xi + 2n\pi)| \in L^2[0, 2\pi]$ , then  $\phi \in L^2(\mathbb{R}) \cap C(\mathbb{R})$  and  $\sup_{\mathbb{R}} \Phi(t) < \infty$ . In particular,  $Z_\phi(t, \cdot) \in L^2[0, 2\pi]$  for each  $t$  in  $\mathbb{R}$ .*

*Proof.* Since  $H_\phi \in L^2[0, 2\pi] \subset L^1[0, 2\pi]$  and  $\|H_\phi(\xi)\|_{L^1[0,2\pi]} = \|\hat{\phi}(\xi)\|_{L^1(\mathbb{R})}$ ,  $\hat{\phi} \in L^1(\mathbb{R}) \cap L^2(\mathbb{R})$  and the series  $\sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi)e^{it(\xi + 2n\pi)}$  converges in  $L^2[0, 2\pi]$ . Hence we have by Lemma 2.1

$$\sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi)e^{it(\xi + 2n\pi)} = \frac{1}{\sqrt{2\pi}} \sum_{n \in \mathbb{Z}} \phi(t + n)e^{-in\xi} \text{ in } L^2[0, 2\pi]$$

so that

$$\Phi(t) = \sum_{n \in \mathbb{Z}} |\phi(t + n)|^2 = \left\| \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi)e^{it(\xi + 2n\pi)} \right\|_{L^2[0,2\pi]}^2 \leq \|H_\phi\|_{L^2[0,2\pi]}^2.$$

Hence,  $\sup_{\mathbb{R}} \Phi(t) \leq \|H_\phi\|_{L^2[0,2\pi]}^2 < \infty$  and so  $Z_\phi(t, \cdot) \in L^2[0, 2\pi]$ ,  $t \in \mathbb{R}$ .  $\square$

Finally in this section, let us recall the following sampling theorem (cf. [8, 9, 14]), which extends the classical Shannon sampling theorem in the Paley-Wiener space to the general shift invariant space. For any measurable function  $f$  on  $\mathbb{R}$ , let

$$\|f\|_0 := \sup_{|E|=0} \inf_{\mathbb{R} \setminus E} |f(t)| \text{ and } \|f\|_\infty := \inf_{|E|=0} \sup_{\mathbb{R} \setminus E} |f(t)|$$

be the essential infimum and the essential supremum of  $|f|$  respectively where  $|E|$  is the Lebesgue measure of  $E$ .

**Proposition 2.3.** *Let  $V_0$  be the closed subspace of  $L^2(\mathbb{R})$  of which  $\{\phi(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis. Assume  $\phi \in L^2(\mathbb{R}) \cap C(\mathbb{R})$  and  $\sup_{\mathbb{R}} \Phi(t) < \infty$ . Then*

$$(2.4) \quad 0 < \|Z_\phi(\sigma, \xi)\|_0 \leq \|Z_\phi(\sigma, \xi)\|_\infty < \infty$$

*holds for some  $\sigma$  in  $[0, 1)$  if and only if there is a Riesz basis  $\{S_\sigma(t-n) : n \in \mathbb{Z}\}$  of  $V_0$  such that for each  $f \in V_0$*

$$(2.5) \quad f = \sum_{n \in \mathbb{Z}} f(\sigma+n) S_\sigma(\cdot-n) \quad \text{in } V_0.$$

*Moreover, in this case, we have*

$$(2.6) \quad \hat{S}_\sigma(\xi) = Z_\phi(\sigma, \xi)^{-1} \hat{\phi}(\xi) \quad \text{a.e. on } \mathbb{R}.$$

Note that in Proposition 2.3, any function in  $V_0$  is of the form

$$f(t) = (\mathbf{c} * \phi)(t) := \sum_{n \in \mathbb{Z}} c(n) \phi(t-n)$$

for some  $\mathbf{c} = \{c(n)\}_{n \in \mathbb{Z}}$  in  $\ell^2(\mathbb{Z})$ , which converges both in  $L^2(\mathbb{R})$  and uniformly on  $\mathbb{R}$  to a continuous function and  $V_0$  is a reproducing kernel Hilbert space. Let  $\mathbf{c} = \{c(n)\}_{n \in \mathbb{Z}}$  be the Fourier coefficients of  $Z_\phi(\sigma, \cdot)^{-1} \in L^\infty[0, 2\pi]$  so that  $Z_\phi(\sigma, \xi)^{-1} = \sum_{n \in \mathbb{Z}} c(n) e^{-in\xi}$ . Then  $S_\sigma(t) = (\mathbf{c} * \phi)(t)$  and so we have by (2.3)

$$\sum_{n \in \mathbb{Z}} |S_\sigma(t+n)|^2 = \sum_{n \in \mathbb{Z}} |(\mathbf{c} * \phi)(t+n)|^2 = \frac{1}{2\pi} \int_0^{2\pi} |\hat{\mathbf{c}}^*(\xi) Z_\phi(t, \xi)|^2 d\xi.$$

Hence

$$(2.7) \quad \sum_{n \in \mathbb{Z}} |S_\sigma(t+n)|^2 \leq \|Z_\phi(\sigma, \xi)\|_0^{-2} \sum_{n \in \mathbb{Z}} |\phi(t+n)|^2, \quad t \in \mathbb{R}$$

so that  $\sup_{\mathbb{R}} \sum_{n \in \mathbb{Z}} |S_\sigma(t+n)|^2 < \infty$ . Therefore, the sampling series (2.5) converges not only in  $L^2(\mathbb{R})$  but also absolutely and uniformly on  $\mathbb{R}$ .

### 3. ALIASING ERROR IN CLASSICAL SAMPLING

From now on let  $\phi$  be a scaling function of a multiresolution analysis  $\{V_j\}_{j \in \mathbb{Z}}$  (cf. [3, 4]), where the  $V_j$ 's are closed subspaces of  $L^2(\mathbb{R})$  satisfying

- (i)  $V_j \subset V_{j+1}$ ,  $j \in \mathbb{Z}$ ;
- (ii)  $\overline{\bigcup_{j \in \mathbb{Z}} V_j} = L^2(\mathbb{R})$  and  $\bigcap_{j \in \mathbb{Z}} V_j = \{0\}$ ;
- (iii)  $f(t) \in V_j$  if and only if  $f(2t) \in V_{j+1}$ ,  $j \in \mathbb{Z}$ ;
- (iv)  $\{\phi(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis for  $V_0$ .

We also assume that  $H_\phi \in L^2[0, 2\pi]$  and the condition (2.4) holds for some  $\sigma$  in  $[0, 1)$  so that there is a regular shifted sampling expansion (2.5) on  $V_0$ . Then  $\phi \in L^2(\mathbb{R}) \cap C(\mathbb{R})$  and

$\sup_{\mathbb{R}} \Phi(t) < \infty$  by Lemma 2.2. Moreover for any  $f \in V_j$  ( $j \in \mathbb{Z}$ ),  $f(2^{-j}t) = (\mathbf{c} * \phi)(t) \in V_0$  for some  $\mathbf{c}$  in  $\ell^2(\mathbb{Z})$  so that

$$\|\hat{f}(\xi)\|_{L^1(\mathbb{R})} = \|2^j \hat{f}(2^j \xi)\|_{L^1(\mathbb{R})} = \|\hat{\mathbf{c}}^*(\xi) \hat{\phi}(\xi)\|_{L^1(\mathbb{R})} = \|\hat{\mathbf{c}}^*(\xi) H_\phi(\xi)\|_{L^1[0, 2\pi]} < \infty,$$

by using the Cauchy-Schwarz inequality. Hence  $\hat{f} \in L^1(\mathbb{R})$  for any  $f \in V_j$  ( $j \in \mathbb{Z}$ ) so that  $V_j \subset L^2(\mathbb{R}) \cap C(\mathbb{R})$ ,  $j \in \mathbb{Z}$ . Now for any integer  $j \geq 1$ , let

$$V_j(\sigma) := \{f \in V_j : \{f(\sigma + n)\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})\}$$

and

$$E^A f(t) := f(t) - \sum_{n \in \mathbb{Z}} f(\sigma + n) S_\sigma(t - n), \quad f \in V_j(\sigma)$$

be the aliasing error of  $f$ , which converges both in  $L^2(\mathbb{R})$  and uniformly on  $\mathbb{R}$  to a continuous function since  $\sup_{\mathbb{R}} \sum_{n \in \mathbb{Z}} |S_\sigma(t - n)|^2 < \infty$ . Then  $E^A f \in V_j$  so that the function  $\widehat{E^A f}(\xi) = \hat{f}(\xi) - Z_f(\sigma, \xi) Z_\phi(\sigma, \xi)^{-1} \hat{\phi}(\xi)$  belongs to  $L^1(\mathbb{R}) \cap L^2(\mathbb{R})$ .

**Lemma 3.1.** *For any fixed integer  $j \geq 1$ , if  $Z_\phi(2^j \sigma, \cdot) \in L^\infty[0, 2\pi]$ , then  $V_j(\sigma) = V_j$ . In particular, if  $\sigma = 0$ , then  $V_j(0) = V_j$  for any  $j \geq 1$ .*

*Proof.* Assume  $Z_\phi(2^j \sigma, \cdot) \in L^\infty[0, 2\pi]$ . Let  $f \in V_j$ . Then  $f(t) = \sum_{k \in \mathbb{Z}} c(k) \phi(2^j t - k)$  for some  $\mathbf{c} = \{c(k)\}_{k \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$ . Hence, we have by (2.3)

$$\begin{aligned} \sum_{n \in \mathbb{Z}} |f(\sigma + n)|^2 &= \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} c(k) \phi(2^j \sigma + 2^j n - k) \right|^2 \leq \sum_{n \in \mathbb{Z}} \left| \sum_{k \in \mathbb{Z}} c(k) \phi(2^j \sigma + n - k) \right|^2 \\ &= \frac{1}{2\pi} \|\hat{\mathbf{c}}^*(\xi) Z_\phi(2^j \sigma, \xi)\|_{L^2[0, 2\pi]}^2 \leq \frac{1}{2\pi} \|Z_\phi(2^j \sigma, \xi)\|_\infty^2 \|\hat{\mathbf{c}}^*(\xi)\|_{L^2[0, 2\pi]}^2 < \infty \end{aligned}$$

so that  $f \in V_j(\sigma)$ . Hence  $V_j = V_j(\sigma)$ . If  $\sigma = 0$ , then  $Z_\phi(0, \cdot) \in L^\infty[0, 2\pi]$  by (2.4). Hence  $V_j(0) = V_j$ ,  $j \geq 1$ .  $\square$

**Corollary 3.2.** *If  $H_\phi \in L^\infty[0, 2\pi]$ , then  $Z_\phi(t, \cdot) \in L^\infty[0, 2\pi]$  for any  $t$  in  $\mathbb{R}$  so that  $V_j(\sigma) = V_j$  for any  $j \geq 1$ .*

*Proof.* By Lemma 2.1, for any  $t$  in  $\mathbb{R}$ ,  $Z_\phi(t, \xi) = \sqrt{2\pi} \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it(\xi + 2n\pi)}$  in  $L^2[0, 2\pi]$ . Hence  $|Z_\phi(t, \xi)| \leq \sqrt{2\pi} H_\phi(\xi)$  a.e. on  $\mathbb{R}$  so that  $Z_\phi(t, \cdot) \in L^\infty[0, 2\pi]$  for any  $t$  in  $\mathbb{R}$ .  $\square$

We also note that if  $\mathbf{c} \in \ell^2(\mathbb{Z})$  is such that  $\hat{\mathbf{c}}^* \in L^\infty[0, 2\pi]$ , then  $f(t) = \sum_{k \in \mathbb{Z}} c(k) \phi(2^j t - k) \in V_j(\sigma)$  for any  $j \geq 1$ .

Let  $\psi \in V_1$  be a wavelet associated to the scaling function  $\phi$ , that is,  $\{\psi(t - n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $W_0$ , the orthogonal complement of  $V_0$  in  $V_1$ . We may express any  $f \in V_1$  uniquely as

$$f(t) = \sum_{n \in \mathbb{Z}} c(n) \phi(2t - n) = g(t) + h(t),$$

where  $g(t) = \sum_{n \in \mathbb{Z}} a(n)\phi(t-n) \in V_0$ ,  $h(t) = \sum_{n \in \mathbb{Z}} b(n)\psi(t-n) \in W_0$ , and  $\mathbf{a} = \{a(n)\}_{n \in \mathbb{Z}}$ ,  $\mathbf{b} = \{b(n)\}_{n \in \mathbb{Z}}$ ,  $\mathbf{c} = \{c(n)\}_{n \in \mathbb{Z}}$  are in  $\ell^2(\mathbb{Z})$ . Then

$$(3.1) \quad \hat{f}(\xi) = m_f\left(\frac{\xi}{2}\right)\hat{\phi}\left(\frac{\xi}{2}\right) = u_f(\xi)\hat{\phi}(\xi) + v_f(\xi)\hat{\psi}(\xi)$$

where  $m_f(\xi) = \frac{1}{2}\hat{\mathbf{c}}^*(\xi)$ ,  $u_f(\xi) = \hat{\mathbf{a}}^*(\xi)$ , and  $v_f(\xi) = \hat{\mathbf{b}}^*(\xi)$ . In particular,  $m_\phi$  and  $m_\psi$  are in  $L^\infty[0, 2\pi]$  so that  $\phi$  and  $\psi$  belong to  $V_1(\sigma)$ . Note also that for any  $f = g + h$  with  $g \in V_0$  and  $h \in W_0$ ,  $f \in V_1(\sigma)$  if and only if  $h \in V_1(\sigma)$ .

**Lemma 3.3.** *For any  $f \in V_1(\sigma)$ ,*

$$(3.2) \quad Z_f(\sigma, \xi) = m_f\left(\frac{\xi}{2}\right)Z_\phi\left(2\sigma, \frac{\xi}{2}\right) + m_f\left(\frac{\xi}{2} + \pi\right)Z_\phi\left(2\sigma, \frac{\xi}{2} + \pi\right) \text{ in } L^2[0, 2\pi]$$

*Proof.* See Lemma 2 in [5]. □

In [5], (3.2) was proved under a stronger condition on  $\phi$ , say,  $H_\phi \in L^\infty[0, 2\pi]$ . But we can easily see that (3.2) holds also when  $H_\phi \in L^2[0, 2\pi]$  by using Lemma 2.1.

**Proposition 3.4.** *For any  $f \in V_1(\sigma)$ ,*

$$(3.3) \quad \widehat{E^A f}(\xi) = v_f(\xi)\hat{\phi}\left(\frac{\xi}{2}\right)Z_\phi\left(2\sigma, \frac{\xi}{2} + \pi\right)Z_\phi(\sigma, \xi)^{-1}M\left(\frac{\xi}{2}\right)$$

where  $v_f$  is the one given in (3.1) and

$$(3.4) \quad M(\xi) := m_\psi(\xi)m_\phi(\xi + \pi) - m_\phi(\xi)m_\psi(\xi + \pi).$$

*Proof.* For any  $f = g + h \in V_1$  with  $g \in V_0$  and  $h \in W_0$ , we have by applying Lemma 2.1 to  $Z_h(\sigma, \xi)$  and  $Z_\psi(\sigma, \xi)$

$$\begin{aligned} \widehat{E^A f}(\xi) &= \widehat{E^A h}(\xi) = \hat{h}(\xi) - Z_h(\sigma, \xi)\hat{S}(\xi) \\ &= v_f(\xi)m_\psi\left(\frac{\xi}{2}\right)\hat{\phi}\left(\frac{\xi}{2}\right) - v_f(\xi)Z_\psi(\sigma, \xi)\hat{S}(\xi) \\ &= v_f(\xi)\hat{\phi}\left(\frac{\xi}{2}\right)Z_\phi(\sigma, \xi)^{-1}\left[m_\psi\left(\frac{\xi}{2}\right)Z_\phi(\sigma, \xi) - m_\phi\left(\frac{\xi}{2}\right)Z_\psi(\sigma, \xi)\right]. \end{aligned}$$

Then (3.3) comes immediately by applying (3.2) to  $\phi$  and  $\psi$ . □

Proposition 3.4 was proved in [5] (see Theorem 1 in [5]) assuming that  $\phi$  is an orthonormal scaling function satisfying  $\phi(t) = O((1 + |t|)^{-s})$  with  $s > \frac{1}{2}$  and  $H_\phi \in L^\infty[0, 2\pi]$ . In such a case, we may take the wavelet  $\psi$  with  $m_\psi(\xi) = e^{i\xi}\overline{m_\phi(\xi + \pi)}$  as in [5]. Then

$$M(\xi) = e^{i\xi}(|m_\phi(\xi)|^2 + |m_\phi(\xi + \pi)|^2) = e^{i\xi} \text{ a.e. on } \mathbb{R}$$

so that the equation (3.3) becomes the equation (13) in [5]:

$$\widehat{E^A f}(\xi) = e^{i\frac{\xi}{2}}v_f(\xi)\hat{\phi}\left(\frac{\xi}{2}\right)Z_\phi\left(2\sigma, \frac{\xi}{2} + \pi\right)Z_\phi(\sigma, \xi)^{-1}.$$

**Theorem 3.5.** *Assume  $Z_\phi(2\sigma, \cdot) \in L^\infty[0, 2\pi]$  so that  $V_1(\sigma) = V_1$ . Then we have for any  $f \in V_1$ ,*

$$(3.5) \quad \begin{aligned} |E^A f(t)| &\leq \frac{1}{\pi} \|Z_\phi(2\sigma, \xi + \pi)Z_\phi(\sigma, 2\xi)^{-1}Z_\phi(2t, \xi)M(\xi)\|_{L^2[0, 2\pi]} \|v_f(\xi)\|_{L^2[0, 2\pi]} \\ &\leq \sqrt{\frac{2}{\pi}} \|Z_\phi(2\sigma, \xi + \pi)Z_\phi(\sigma, 2\xi)^{-1}H_\phi(\xi)M(\xi)\|_{L^2[0, 2\pi]} \|v_f(\xi)\|_{L^2[0, 2\pi]}, \quad t \in \mathbb{R}. \end{aligned}$$

Moreover, the equality holds in the first inequality of (3.5) at  $t = \sigma + k + \frac{1}{2}$  ( $k \in \mathbb{Z}$ ) for any  $f \in V_1$  satisfying

$$(3.6) \quad \overline{v_f(2\xi)} = \lambda e^{i(2k+1)\xi} Z_\phi(2\sigma, \xi) Z_\phi(2\sigma, \xi + \pi) Z_\phi(\sigma, 2\xi)^{-1} M(\xi) \quad (\lambda \in \mathbb{C}).$$

*Proof.* Since  $\widehat{E^A f} \in L^1(\mathbb{R})$ , we have by (3.3) and the Poisson summation formula

$$(3.7) \quad \begin{aligned} E^A f(t) &= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \widehat{E^A f}(\xi) e^{it\xi} d\xi \\ &= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} v_f(\xi) \hat{\phi}\left(\frac{\xi}{2}\right) Z_\phi\left(2\sigma, \frac{\xi}{2} + \pi\right) Z_\phi(\sigma, \xi)^{-1} M\left(\frac{\xi}{2}\right) e^{it\xi} d\xi \\ &= \frac{1}{\pi} \int_0^{2\pi} Z_\phi(2\sigma, \xi + \pi) Z_\phi(\sigma, 2\xi)^{-1} Z_\phi(2t, \xi) M(\xi) v_f(2\xi) d\xi. \end{aligned}$$

Then (3.5) follows from (3.7) since  $|Z_\phi(2t, \xi)| \leq \sqrt{2\pi} H_\phi(\xi)$  a.e. on  $\mathbb{R}$ . Now the equality holds in the first inequality of (3.5) if and only if for some constant  $\lambda$

$$(3.8) \quad \overline{v_f(2\xi)} = \lambda Z_\phi(2\sigma, \xi + \pi) Z_\phi(\sigma, 2\xi)^{-1} Z_\phi(2t, \xi) M(\xi),$$

which requires that the right hand side of (3.8) must be  $\pi$ -periodic. For  $t = \sigma + k + \frac{1}{2}$  ( $k \in \mathbb{Z}$ ),  $Z_\phi(2\sigma + 2k + 1, \xi) = e^{i(2k+1)\xi} Z_\phi(2\sigma, \xi)$  so that (3.8) becomes (3.6), of which the right hand side is indeed  $\pi$ -periodic since  $M(\xi + \pi) = -M(\xi)$  (cf. (3.4)).  $\square$

When  $\phi$  is an orthonormal scaling function and  $m_\phi(\xi) = e^{i\xi \overline{m_\phi(\xi + \pi)}}$  so that  $M(\xi) = e^{i\xi}$  a.e. on  $\mathbb{R}$ , the first inequality (3.5) and the equation (3.7) become the equations (19) and (21) in [5] respectively. In [5], the extremal solutions of the inequality (3.5) were found (see Corollary 3 in [5]) when  $\arg \hat{\phi}(\xi)$  is  $2\pi$ -periodic. For a  $L^2$ -estimate of the aliasing error, we have:

**Theorem 3.6.** *Assume  $Z_\phi(2\sigma, \cdot) \in L^\infty[0, 2\pi]$  so that  $V_1(\sigma) = V_1$ . Then we have for any  $f \in V_1$ ,*

$$(3.9) \quad K_0 \|v_f\|_{L^2[0, 2\pi]}^2 \leq \|E^A(f)\|_{L^2(\mathbb{R})}^2 \leq K_\infty \|v_f\|_{L^2[0, 2\pi]}^2$$

where  $K_0 := \|K(\xi)\|_0$ ,  $K_\infty := \|K(\xi)\|_\infty$ , and

$$K(\xi) := |M(\xi)|^2 |Z_\phi(\sigma, 2\xi)|^{-2} \left[ G_\phi(\xi) |Z_\phi(2\sigma, \xi + \pi)|^2 + G_\phi(\xi + \pi) |Z_\phi(2\sigma, \xi)|^2 \right].$$

Moreover,  $K_0$  and  $K_\infty$  are the optimal constants for (3.9).

*Proof.* By using (3.3) and  $M(\xi + \pi) = -M(\xi)$  we have

$$\begin{aligned} \|E^A(f)\|_{L^2(\mathbb{R})}^2 &= \|\widehat{E^A f}\|_{L^2(\mathbb{R})}^2 \\ &= 2 \int_0^{2\pi} |M(\xi)|^2 |Z_\phi(\sigma, 2\xi)|^{-2} G_\phi(\xi) |Z_\phi(2\sigma, \xi + \pi)|^2 |v_f(2\xi)|^2 d\xi \\ &= 2 \int_0^\pi K(\xi) |v_f(2\xi)|^2 d\xi \end{aligned}$$

from which (3.9) follows. Note that  $K(\xi) = K(\xi + \pi) \in L^\infty[0, \pi]$ . If  $K_\infty = 0$ , i.e.,  $K(\xi) = 0$  a.e. in  $[0, \pi]$ , then  $K_0 = K_\infty = 0$  are trivially optimal. When  $K_\infty > 0$ , choose any  $\lambda$  with  $0 < \lambda < K_\infty$ . Then there is a subset of  $D$  of  $[0, \pi]$  with  $|D| > 0$  and  $K(\xi) > \lambda$  a.e. on  $D$ .



Let  $f \in V_1$  be such that  $\hat{f}(\xi) = v_f(\xi)\hat{\psi}(\xi)$ , where  $v_f(\xi) = \chi_{2D}(\xi)$  the characteristic function of  $2D$ . Then

$$\|E^A f\|_{L^2(\mathbb{R})}^2 = 2 \int_0^\pi K(\xi) |v_f(2\xi)|^2 d\xi > 2\lambda \int_0^\pi |v_f(2\xi)|^2 d\xi = \lambda \|v_f\|_{L^2[0,2\pi]}^2$$

so that  $K_\infty$  is optimal. Similarly we can see that  $K_0$  is also optimal.  $\square$

Aliasing error estimates in Theorem 3.5 and Theorem 3.6 can also be expressed via  $\|P_{W_0} f\|_{L^2(\mathbb{R})}$  where  $P_{W_0} f (= h)$  is the orthogonal projection of  $f \in V_1$  onto  $W_0$ . In fact, since  $\{\psi(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $W_0$ , there are positive constants  $A$  and  $B$  such that  $A \leq G_\psi(\xi) \leq B$  a.e. in  $[0, 2\pi]$ . Then we have

$$A \|v_f\|_{L^2[0,2\pi]}^2 \leq \|P_{W_0} f\|_{L^2(\mathbb{R})}^2 \leq B \|v_f\|_{L^2[0,2\pi]}^2.$$

Moreover, if  $\{\psi(t-n) : n \in \mathbb{Z}\}$  is an orthonormal basis of  $W_0$ , then  $\|P_{W_0} f\|_{L^2(\mathbb{R})}^2 = \frac{1}{2\pi} \|v_f\|_{L^2[0,2\pi]}^2$ .

We now estimate the aliasing error for signals in  $V_j(\sigma)$  for arbitrary  $j \geq 1$ .

**Proposition 3.7.** *For any integer  $j \geq 1$  and any  $f \in V_j(\sigma)$*

(3.10)

$$\widehat{E^A f}(\xi) = 2^{-\frac{j-1}{2}} \hat{\phi}\left(\frac{\xi}{2^j}\right) \sum_{k=1}^{2^{j-1}} \left[ A_k\left(\frac{\xi}{2^j}\right) u_g\left(\frac{\xi + 2(k-1)\pi}{2^{j-1}}\right) + B_k\left(\frac{\xi}{2^j}\right) v_g\left(\frac{\xi + 2(k-1)\pi}{2^{j-1}}\right) \right]$$

where  $g(t) := 2^{-\frac{j-1}{2}} f\left(\frac{t}{2^{j-1}}\right) \in V_1$  so that  $\hat{g}(\xi) = u_g(\xi)\hat{\phi}(\xi) + v_g(\xi)\hat{\psi}(\xi)$  (cf. (3.1)) and

$$(3.11) \quad A_k(\xi) := m_\phi(\xi)\delta_{1,k} - Z_\phi(\sigma, 2^j\xi)^{-1} Z_\phi(2^{j-1}\sigma, 2\xi + 2^{2-j}(k-1)\pi) \prod_{l=0}^{j-1} m_\phi(2^l\xi)$$

$$(3.12) \quad B_k(\xi) := m_\psi(\xi)\delta_{1,k} - Z_\psi(\sigma, 2^j\xi)^{-1} Z_\psi(2^{j-1}\sigma, 2\xi + 2^{2-j}(k-1)\pi) \prod_{l=0}^{j-1} m_\psi(2^l\xi)$$

for  $1 \leq k \leq 2^{j-1}$ .

*Proof.* From  $g(t) = 2^{-\frac{j-1}{2}} f\left(\frac{t}{2^{j-1}}\right)$  and  $\hat{g}(\xi) = u_g(\xi)\hat{\phi}(\xi) + v_g(\xi)\hat{\psi}(\xi)$ , we obtain

$$(3.13) \quad \begin{aligned} \hat{f}(\xi) &= 2^{-\frac{j-1}{2}} \left[ u_g\left(\frac{\xi}{2^{j-1}}\right) \hat{\phi}\left(\frac{\xi}{2^{j-1}}\right) + v_g\left(\frac{\xi}{2^{j-1}}\right) \hat{\psi}\left(\frac{\xi}{2^{j-1}}\right) \right] \\ &= 2^{-\frac{j-1}{2}} \left[ u_g\left(\frac{\xi}{2^{j-1}}\right) m_\phi\left(\frac{\xi}{2^j}\right) + v_g\left(\frac{\xi}{2^{j-1}}\right) m_\psi\left(\frac{\xi}{2^j}\right) \right] \hat{\phi}\left(\frac{\xi}{2^j}\right). \end{aligned}$$

We then have by Lemma 2.1

$$\begin{aligned}
(3.14) \quad Z_f(\sigma, \xi) &= \sqrt{2\pi} \sum_{n \in \mathbb{Z}} \hat{f}(\xi + 2n\pi) e^{i\sigma(\xi + 2n\pi)} \\
&= 2^{-\frac{j-1}{2}} \sqrt{2\pi} \sum_{n \in \mathbb{Z}} \left[ u_g \left( \frac{\xi + 2n\pi}{2^{j-1}} \right) \hat{\phi} \left( \frac{\xi + 2n\pi}{2^{j-1}} \right) + v_g \left( \frac{\xi + 2n\pi}{2^{j-1}} \right) \hat{\psi} \left( \frac{\xi + 2n\pi}{2^{j-1}} \right) \right] e^{i\sigma(\xi + 2n\pi)} \\
&= 2^{-\frac{j-1}{2}} \sqrt{2\pi} \sum_{k=1}^{2^{j-1}} \left[ u_g \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} \right) \sum_{n \in \mathbb{Z}} \hat{\phi} \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} + 2n\pi \right) \right. \\
&\quad \left. + v_g \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} \right) \sum_{n \in \mathbb{Z}} \hat{\psi} \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} + 2n\pi \right) \right] e^{i2^{j-1}\sigma \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} + 2n\pi \right)} \\
&= 2^{-\frac{j-1}{2}} \sum_{k=1}^{2^{j-1}} \left[ u_g \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} \right) Z_\phi \left( 2^{j-1}\sigma, \frac{\xi + 2(k-1)\pi}{2^{j-1}} \right) \right. \\
&\quad \left. + v_g \left( \frac{\xi + 2(k-1)\pi}{2^{j-1}} \right) Z_\psi \left( 2^{j-1}\sigma, \frac{\xi + 2(k-1)\pi}{2^{j-1}} \right) \right].
\end{aligned}$$

Therefore we obtain (3.10) together with (3.11) and (3.12) by applying (3.13) and (3.14) to

$$\begin{aligned}
\widehat{E^A f}(\xi) &= \hat{f}(\xi) - Z_f(\sigma, \xi) Z_\phi(\sigma, \xi)^{-1} \hat{\phi}(\xi) \\
&= \hat{f}(\xi) - Z_f(\sigma, \xi) Z_\phi(\sigma, \xi)^{-1} \left[ \prod_{l=1}^j m_\phi \left( \frac{\xi}{2^l} \right) \right] \hat{\phi} \left( \frac{\xi}{2^j} \right).
\end{aligned}$$

□

The method of deriving (3.10), expressing the Fourier transform of the aliasing error  $E^A f$  is much easier than the one in [5], where authors employed the subspace  $M_\sigma := \{f \in V_1 : f(\sigma + n) = 0, n \in \mathbb{Z}\}$  to derive the corresponding expressions for  $j = 1$  and  $2$  (see Theorems 1 and 4 in [5]).

Note that  $A_k(\xi) = A_k(\xi + 2\pi)$  and  $B_k(\xi) = B_k(\xi + 2\pi)$  belong to  $L^2[0, 2\pi]$  for  $1 \leq k \leq 2^{j-1}$ . On the other hand, by applying (3.2) to  $\phi$  and  $\psi$  we have

$$\begin{aligned}
A_k(\xi) &= m_\phi(\xi) \delta_{1,k} - Z_\phi(\sigma, 2^j \xi)^{-1} [m_\phi(\xi + 2^{1-j}(k-1)\pi) Z_\phi(2^j \sigma, \xi + 2^{1-j}(k-1)\pi) \\
&\quad + m_\phi(\xi + \pi + 2^{1-j}(k-1)\pi) Z_\phi(2^j \sigma, \xi + \pi + 2^{1-j}(k-1)\pi) \prod_{l=0}^{j-1} m_\phi(2^l \xi)]
\end{aligned}$$

and

$$\begin{aligned}
B_k(\xi) &= m_\psi(\xi) \delta_{1,k} - Z_\psi(\sigma, 2^j \xi)^{-1} [m_\psi(\xi + 2^{1-j}(k-1)\pi) Z_\psi(2^j \sigma, \xi + 2^{1-j}(k-1)\pi) \\
&\quad + m_\psi(\xi + \pi + 2^{1-j}(k-1)\pi) Z_\psi(2^j \sigma, \xi + \pi + 2^{1-j}(k-1)\pi) \prod_{l=0}^{j-1} m_\psi(2^l \xi)].
\end{aligned}$$

Hence  $A_k$  and  $B_k$  belong to  $L^\infty[0, 2\pi]$  for  $1 \leq k \leq 2^{j-1}$  provided that  $Z_\phi(2^j \sigma, \cdot) \in L^\infty[0, 2\pi]$ .

**Theorem 3.8.** *Assume  $Z_\phi(2^j\sigma, \cdot) \in L^\infty[0, 2\pi]$  so that  $V_j(\sigma) = V_j$  for an integer  $j \geq 1$ . Then we have for any  $f \in V_j$  and for any  $t$  in  $\mathbb{R}$*

$$\begin{aligned}
|E^A f(t)| &\leq \frac{1}{\pi} 2^{-\frac{j-1}{2}} \sum_{k=1}^{2^j-1} [\|A_k(\xi)Z_\phi(2^j t, \xi)\|_{L^2[0, 2\pi]} \|u_g(\xi)\|_{L^2[0, 2\pi]} \\
&\quad + \|B_k(\xi)Z_\phi(2^j t, \xi)\|_{L^2[0, 2\pi]} \|v_g(\xi)\|_{L^2[0, 2\pi]}] \\
(3.15) \quad &\leq \sqrt{\frac{2^j}{\pi}} \sum_{k=1}^{2^j-1} [\|H_\phi(\xi)A_k(\xi)\|_{L^2[0, 2\pi]} \|u_g(\xi)\|_{L^2[0, 2\pi]} \\
&\quad + \|H_\phi(\xi)B_k(\xi)\|_{L^2[0, 2\pi]} \|v_g(\xi)\|_{L^2[0, 2\pi]}]
\end{aligned}$$

and

$$\begin{aligned}
(3.16) \quad \|E^A f\|_{L^2(\mathbb{R})}^2 &\leq 2^{j+1} \|G_\phi(\xi)\|_\infty \sum_{k=1}^{2^j-1} [\|A_k(\xi)\|_\infty \|u_g(\xi)\|_{L^2[0, 2\pi]} \\
&\quad + \|B_k(\xi)\|_\infty \|v_g(\xi)\|_{L^2[0, 2\pi]}].
\end{aligned}$$

*Proof.* Since  $\widehat{E^A f} \in L^1(\mathbb{R}) \cap L^2(\mathbb{R})$ , we have by (3.10) and Lemma 2.1

$$\begin{aligned}
E^A f(t) &= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \widehat{E^A f}(\xi) e^{it\xi} d\xi = \frac{2^N}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \widehat{E^A f}(2^N \xi) e^{it2^N \xi} d\xi \\
&= \frac{2^{\frac{j+1}{2}}}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \sum_{k=1}^{2^j-1} [A_k(\xi)u_g(2\xi + 2^{2-j}(k-1)\pi) + B_k(\xi)v_g(2\xi + 2^{2-j}(k-1)\pi)] \hat{\phi}(\xi) e^{it2^N \xi} d\xi \\
&= \frac{2^{\frac{j+1}{2}}}{\sqrt{2\pi}} \int_0^{2\pi} \sum_{k=1}^{2^j-1} [A_k(\xi)u_g(2\xi + 2^{2-j}(k-1)\pi) + B_k(\xi)v_g(2\xi + 2^{2-j}(k-1)\pi)] \\
&\quad \cdot \sum_{n \in \mathbb{Z}} \hat{\phi}(\xi + 2n\pi) e^{it2^N(\xi + 2n\pi)} d\xi \\
&= \frac{2^{\frac{j+1}{2}}}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \sum_{k=1}^{2^j-1} [A_k(\xi)u_g(2\xi + 2^{2-j}(k-1)\pi) + B_k(\xi)v_g(2\xi + 2^{2-j}(k-1)\pi)] Z_\phi(2^N t, \xi) d\xi
\end{aligned}$$

from which the first inequality of (3.15) follows. Then the second inequality of (3.15) follows since  $|Z_\phi(t, \xi)| \leq \sqrt{2\pi} H_\phi(\xi)$  for any  $t$  in  $\mathbb{R}$ . Similarly (3.16) also comes from (3.10).  $\square$

In Theorem 3.8, we assume  $Z_\phi(2^j\sigma, \cdot) \in L^\infty[0, 2\pi]$  only to guarantee the finiteness of the upper bounds in (3.15) and (3.16). For example if we assume  $H_\phi \in L^4[0, 2\pi]$ , then we can easily see that  $H_\phi A_k$  and  $H_\phi B_k$  belong to  $L^2[0, 2\pi]$  for  $1 \leq k \leq 2^j-1$  so that the estimate (3.15) remains to hold for any  $f \in V_j(\sigma)$  even if we do not assume  $Z_\phi(2^j\sigma, \cdot) \in L^\infty[0, 2\pi]$ .

Note that  $Z_\phi(2^j\sigma, \cdot) \in L^\infty[0, 2\pi]$  for any  $j \geq 1$  if either  $\sigma = 0$  or  $H_\phi \in L^\infty[0, 2\pi]$  since  $\|Z_\phi(\sigma, \cdot)\|_\infty < \infty$  by (2.4) and  $|Z_\phi(t, \xi)| \leq \sqrt{2\pi} H_\phi(\xi)$  for any  $t$  in  $\mathbb{R}$  by (2.2). It is also worth to note that if  $Z_\phi(2^j\sigma, \cdot) \in L^\infty[0, 2\pi]$  for some integer  $j \geq 1$ , then  $Z_\phi(2^k\sigma, \cdot) \in L^\infty[0, 2\pi]$  for  $0 \leq k \leq j$ . It follows immediately from (3.2) applied to  $\phi$  since  $m_\phi \in L^\infty[0, 2\pi]$ .

## 4. ALIASING ERROR IN AVERAGE SAMPLING

Suppose that  $\mathcal{L}$  is a linear time-invariant system defined on  $V_0$  as

$$(\mathcal{L}f)(t) := [f * \mathbf{h}](t) = \int_{-\infty}^{\infty} f(x)\mathbf{h}(t-x)dx, \quad t \in \mathbb{R},$$

where the impulse response  $\mathbf{h}$  of  $\mathcal{L}$  belongs to  $L^1(\mathbb{R}) \cap L^2(\mathbb{R})$ . As a consequence, the sequence  $\{\mathcal{L}\phi(n)\}_{n \in \mathbb{Z}}$  belongs to  $\ell^2(\mathbb{Z})$  (see [6, Lemma 1]) and  $Z_{\mathcal{L}\phi}(0, \xi)$  belongs to  $L^2[0, 2\pi]$ . Moreover, for each  $f \in L^2(\mathbb{R})$  we have that  $\widehat{\mathcal{L}f}(\xi) = \widehat{\mathbf{h}} * \widehat{f}(\xi) = \sqrt{2\pi} \widehat{\mathbf{h}}(\xi) \widehat{f}(\xi)$  a.e. in  $\mathbb{R}$ .

Unser and Aldroubi derived in [10] a sampling formula that allows to recover any function  $f$  in  $V_0$  from the average samples  $\{(\mathcal{L}f)(n)\}_{n \in \mathbb{Z}}$ . The following theorem, which proof can be found in [6], gives a necessary and sufficient condition for this formula to hold.

**Theorem 4.1.** *Assume that  $\phi \in L^2(\mathbb{R}) \cap C(\mathbb{R})$ ,  $\sup_{\mathbb{R}} \Phi(t) < \infty$  and  $\|Z_{\mathcal{L}\phi}(0, \cdot)\|_{\infty} < \infty$ . Then, there exists a Riesz basis  $\{S_{\mathcal{L}}(t-n) : n \in \mathbb{Z}\}$  for  $V_0$  such that the sampling formula*

$$(4.1) \quad f(t) = \sum_{n \in \mathbb{Z}} (\mathcal{L}f)(n) S_{\mathcal{L}}(t-n), \quad t \in \mathbb{R},$$

holds for each  $f \in V_0$  if and only if  $\|Z_{\mathcal{L}\phi}(0, \cdot)\|_0 > 0$ . Moreover, in this case  $\widehat{S}_{\mathcal{L}}(\xi) = Z_{\mathcal{L}\phi}^{-1}(0, \xi) \widehat{\phi}(\xi)$ . The convergence of the series in (4.1) is in the  $L^2(\mathbb{R})$ -sense, absolute and uniform on  $\mathbb{R}$ .

A similar formulation can be given in terms of the shifted samples  $\{(\mathcal{L}f)(\sigma+n)\}_{n \in \mathbb{Z}}$ . Throughout this section we assume the hypotheses in Theorem 4.1 and that the sampling formula (4.1) holds. In the following, we assume  $H_{\phi} \in L^{\infty}[0, 2\pi]$ . Then we can easily see that  $\sup_{\mathbb{R}} \sum_{n \in \mathbb{Z}} |f(t+n)|^2 < \infty$  so that  $\{\mathcal{L}f(n)\}_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$  for any  $f \in V_1$  (cf. [6, Lemma 1]). For each  $f \in V_1$  we define the average aliasing error as

$$E_{\mathcal{L}}^A f(t) := f(t) - \sum_{n \in \mathbb{Z}} (\mathcal{L}f)(n) S_{\mathcal{L}}(t-n), \quad t \in \mathbb{R}.$$

**Proposition 4.2.** *Assume that  $H_{\phi} \in L^{\infty}[0, 2\pi]$ . For any  $f \in V_1$ ,*

$$(4.2) \quad \widehat{E_{\mathcal{L}}^A f}(\xi) = v_f(\xi) N_{\mathcal{L}}(\xi/2) \widehat{\phi}(\xi/2),$$

where  $v_f(\xi)$  is the function given in (3.1) and

$$N_{\mathcal{L}}(\xi) := m_{\psi}(\xi) - Z_{\mathcal{L}\psi}(0, 2\xi) Z_{\mathcal{L}\phi}^{-1}(0, 2\xi) m_{\phi}(\xi).$$

*Proof.* First, we check that we can apply the Poisson summation formula to  $\mathcal{L}f$  for any  $f \in V_1$ . Indeed,

$$\begin{aligned} \frac{1}{\sqrt{2\pi}} \sum_{n \in \mathbb{Z}} |\widehat{\mathcal{L}f}(\xi + 2n\pi)| &= \sum_{n \in \mathbb{Z}} |\widehat{\mathbf{h}}(\xi + 2n\pi) \widehat{f}(\xi + 2n\pi)| \leq \|\widehat{\mathbf{h}}\|_{L^{\infty}(\mathbb{R})} \sum_{n \in \mathbb{Z}} |\widehat{f}(\xi + 2n\pi)| \\ &\leq \frac{1}{\sqrt{2\pi}} \|\mathbf{h}\|_{L^1(\mathbb{R})} \sum_{n \in \mathbb{Z}} \left| m_f\left(\frac{\xi}{2} + n\pi\right) \widehat{\phi}\left(\frac{\xi}{2} + n\pi\right) \right| \\ &= \frac{1}{\sqrt{2\pi}} \|\mathbf{h}\|_{L^1(\mathbb{R})} \left[ \left| m_f\left(\frac{\xi}{2}\right) \right| \sum_{n \in \mathbb{Z}} |\widehat{\phi}\left(\frac{\xi}{2} + 2n\pi\right)| + \left| m_f\left(\frac{\xi}{2} + \pi\right) \right| \sum_{n \in \mathbb{Z}} |\widehat{\phi}\left(\frac{\xi}{2} + \pi + 2n\pi\right)| \right]. \end{aligned}$$

Since we have assumed that  $h \in L^1(\mathbb{R})$  and  $H_\phi(\xi) = \sum_{n \in \mathbb{Z}} |\widehat{\phi}(\xi + 2n\pi)| \in L^\infty[0, 2\pi]$  we have that the series  $\sum_{n \in \mathbb{Z}} |\widehat{\mathcal{L}f}(\xi + 2n\pi)|$  belongs to  $L^2[0, 2\pi]$ . Then, using Lemma 2.1 we have that, for each  $f \in V_1$ ,

$$Z_{\mathcal{L}f}(0, \xi) = \sqrt{2\pi} \sum_{n \in \mathbb{Z}} \widehat{\mathcal{L}f}(\xi + 2n\pi), \quad \text{a.e. } \xi \in [0, 2\pi].$$

Now, for  $f \in V_1$ , we consider the orthogonal projection  $h$  onto  $W_0$ , i.e.,  $f = g + h$  with  $g \in V_0$  and  $h \in W_0$ . We have

$$\widehat{E_{\mathcal{L}}^A f}(\xi) = \widehat{E_{\mathcal{L}}^A h}(\xi) = \widehat{h}(\xi) - \sum_{n \in \mathbb{Z}} (\mathcal{L}h)(n) \widehat{S}_{\mathcal{L}}(\xi) e^{-in\xi} = \widehat{h}(\xi) - Z_{\mathcal{L}h}(0, \xi) \widehat{S}_{\mathcal{L}}(\xi)$$

Using that  $\widehat{h}(\xi) = v_f(\xi) \widehat{\psi}(\xi)$  and the Poisson summation formula we obtain

$$\begin{aligned} \widehat{E_{\mathcal{L}}^A f}(\xi) &= \widehat{h}(\xi) - \sqrt{2\pi} \sum_{n \in \mathbb{Z}} \widehat{\mathcal{L}h}(\xi + 2n\pi) \widehat{S}_{\mathcal{L}}(\xi) \\ &= v_f(\xi) \widehat{\psi}(\xi) - 2\pi \sum_{n \in \mathbb{Z}} \widehat{h}(\xi + 2n\pi) \widehat{h}(\xi + 2n\pi) \widehat{S}_{\mathcal{L}}(\xi) \\ &= v_f(\xi) \left[ \widehat{\psi}(\xi) - 2\pi \sum_{n \in \mathbb{Z}} \widehat{h}(\xi + 2n\pi) \widehat{\psi}(\xi + 2n\pi) \widehat{S}_{\mathcal{L}}(\xi) \right] \\ &= v_f(\xi) \left[ \widehat{\psi}(\xi) - Z_{\mathcal{L}\psi}(0, \xi) \widehat{S}_{\mathcal{L}}(\xi) \right] = v_f(\xi) \left[ \widehat{\psi}(\xi) - Z_{\mathcal{L}\psi}(0, \xi) Z_{\mathcal{L}\phi}^{-1}(0, \xi) \widehat{\phi}(\xi) \right] \\ &= v_f(\xi) \widehat{\phi}(\xi/2) \left[ m_\psi(\xi/2) - Z_{\mathcal{L}\psi}(0, \xi) Z_{\mathcal{L}\phi}^{-1}(0, \xi) m_\phi(\xi/2) \right], \end{aligned}$$

which concludes the proof.  $\square$

**Theorem 4.3.** *Assume that  $H_\phi \in L^\infty[0, 2\pi]$ . For any  $f \in V_1$ ,*

$$|E_{\mathcal{L}}^A f(t)| \leq \frac{1}{\pi} \|N_{\mathcal{L}} Z_\phi(2t, \cdot)\|_{L^2[0, 2\pi]} \|v_f\|_{L^2[0, 2\pi]}, \quad t \in \mathbb{R}.$$

Moreover, the following uniform bound holds

$$|E_{\mathcal{L}}^A f(t)| \leq \sqrt{\frac{2}{\pi}} \|N_{\mathcal{L}} H_\phi\|_{L^2[0, 2\pi]} \|v_f\|_{L^2[0, 2\pi]}, \quad t \in \mathbb{R}.$$

*Proof.* We have

$$\begin{aligned} \|\widehat{E_{\mathcal{L}}^A f}\|_{L^1(\mathbb{R})} &= \int_{-\infty}^{\infty} |\widehat{E_{\mathcal{L}}^A f}(\xi)| d\xi = \int_{-\infty}^{\infty} |v_f(\xi) \widehat{\phi}(\xi/2) N_{\mathcal{L}}(\xi/2)| d\xi \\ &= 2 \int_{-\infty}^{\infty} |v_f(2\xi) \widehat{\phi}(\xi) N_{\mathcal{L}}(\xi)| d\xi = 2 \int_0^{2\pi} |v_f(2\xi) N_{\mathcal{L}}(\xi)| H_\phi(\xi) d\xi. \end{aligned}$$

Since we have assumed that  $H_\phi \in L^\infty[0, 2\pi]$  and  $N_{\mathcal{L}}, v_f \in L^2[0, 2\pi]$ , the Fourier transform of  $E_{\mathcal{L}}^A f$  belongs to  $L^1(\mathbb{R})$ . Using the inverse Fourier transform and the Poisson summation formula we obtain

$$\begin{aligned} E_{\mathcal{L}}^A f(t) &= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \widehat{E_{\mathcal{L}}^A f}(\xi) e^{it\xi} d\xi = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} v_f(\xi) \widehat{\phi}(\xi/2) N_{\mathcal{L}}(\xi/2) e^{it\xi} d\xi \\ &= \frac{2}{\sqrt{2\pi}} \int_{-\infty}^{\infty} v_f(2\xi) \widehat{\phi}(\xi) N_{\mathcal{L}}(\xi) e^{2it\xi} d\xi = \frac{2}{\sqrt{2\pi}} \int_0^{2\pi} v_f(2\xi) N_{\mathcal{L}}(\xi) \sum_{n \in \mathbb{Z}} \widehat{\phi}(\xi + 2n\pi) e^{2it(\xi + 2n\pi)} d\xi \\ &= \frac{1}{\pi} \int_0^{2\pi} v_f(2\xi) N_{\mathcal{L}}(\xi) Z_\phi(2t, \xi) d\xi. \end{aligned}$$

Then

$$\begin{aligned} |E_{\mathcal{L}}^A f(t)| &\leq \frac{1}{\pi} \|v_f(2\xi)\|_{L^2[0,2\pi]} \|N_{\mathcal{L}}(\xi)Z_{\phi}(2t, \xi)\|_{L^2[0,2\pi]} \\ &= \frac{1}{\pi} \|N_{\mathcal{L}}(\xi)Z_{\phi}(2t, \xi)\|_{L^2[0,2\pi]} \|v_f(\xi)\|_{L^2[0,2\pi]}. \end{aligned}$$

Having in mind that

$$|Z_{\phi}(2t, \xi)| = \sqrt{2\pi} \left| \sum_{n \in \mathbb{Z}} \widehat{\phi}(\xi + 2n\pi) e^{i2t(\xi + 2n\pi)} \right| \leq \sqrt{2\pi} \sum_{n \in \mathbb{Z}} |\widehat{\phi}(\xi + 2n\pi)| = \sqrt{2\pi} H_{\phi}(\xi)$$

the uniform bound follows.  $\square$

**Theorem 4.4.** *Assume that  $H_{\phi} \in L^{\infty}[0, 2\pi]$ . For any  $f \in V_1$ ,*

$$K_0 \|v_f\|_{L^2[0,2\pi]}^2 \leq \|E_{\mathcal{L}}^A f\|_{L^2(\mathbb{R})}^2 \leq K_{\infty} \|v_f\|_{L^2[0,2\pi]}^2,$$

where

$$\begin{aligned} K_0 &:= \| |N_{\mathcal{L}}(\xi)|^2 G_{\phi}(\xi) + |N_{\mathcal{L}}(\xi + \pi)|^2 G_{\phi}(\xi + \pi) \|_0 \\ K_{\infty} &:= \| |N_{\mathcal{L}}(\xi)|^2 G_{\phi}(\xi) + |N_{\mathcal{L}}(\xi + \pi)|^2 G_{\phi}(\xi + \pi) \|_{\infty}. \end{aligned}$$

The constants  $K_0$  and  $K_{\infty}$  are the optimal constants for these inequalities.

*Proof.* We have

$$\begin{aligned} \|E_{\mathcal{L}}^A f\|_{L^2(\mathbb{R})}^2 &= \int_{-\infty}^{\infty} |\widehat{E_{\mathcal{L}}^A f}(\xi)|^2 d\xi = \int_{-\infty}^{\infty} |v_f(\xi) \widehat{\phi}(\xi/2) N_{\mathcal{L}}(\xi/2)|^2 d\xi \\ &= 2 \int_{-\infty}^{\infty} |v_f(2\xi) \widehat{\phi}(\xi) N_{\mathcal{L}}(\xi)|^2 d\xi = 2 \int_0^{2\pi} |v_f(2\xi) N_{\mathcal{L}}(\xi)|^2 G_{\phi}(\xi) d\xi \\ &= 2 \int_0^{\pi} |v_f(2\xi) N_{\mathcal{L}}(\xi)|^2 G_{\phi}(\xi) d\xi + 2 \int_{\pi}^{2\pi} |v_f(2\xi) N_{\mathcal{L}}(\xi)|^2 G_{\phi}(\xi) d\xi \\ &= 2 \int_0^{\pi} |v_f(2\xi)|^2 \left( |N_{\mathcal{L}}(\xi)|^2 G_{\phi}(\xi) + |N_{\mathcal{L}}(\xi + \pi)|^2 G_{\phi}(\xi + \pi) \right) d\xi, \end{aligned}$$

from which the inequalities are easily obtained. The optimality of the constants  $K_0$  and  $K_{\infty}$  can be proved similarly as in the proof of Theorem 3.6.  $\square$

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